

# Using Modern Mathematical and Computational Tools for Image Processing

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# Overview of Presentation

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## Identifying Exoplanets in Direct Imaging Data with Common Spatial Pattern Filtering

- “Blind source separation algorithms for PSF subtraction from direct imaging.” Poster Presentation. AAS 2017.
- “Planet signal extraction from direct imaging using common spatial pattern filtering.” Oral Presentation. SPIE Optics and Photonics, 2017.
- “Common spatial pattern filtering for detection of circumstellar discs.” Poster Presentation. SPIE Telescopes and Instrumentation, 2018
- Shapiro, J., Savransky, D., Ruffio, J.B., Ranganathan, N., and Macintosh, B. **Detecting Planets from Direct Imaging Observations Using Common Spatial Pattern Filtering.** *The Astronomical Journal.* (2019).
- “Identifying Exoplanets with CSP Filtering and a Forward Model Matched Filter.” Oral Presentation. AAS #235, 2020.
- Shapiro, J., and Savransky, D. **Statistical Properties of the Common Spatial Pattern Filtering with a Forward Model Matched Filter technique for Direct Imaging.** *The Astronomical Journal. (In Prep).*

## Optical Design of a Large, Segmented, Space Telescope

- “Optical design of a large segmented space telescope.” Poster Presentation. AAS 2019.
- “Optical design of a modular segmented space telescope.” Oral presentation. SPIE Optics and Photonics 2019
- NASA NIAC Report: <https://ntrs.nasa.gov/archive/nasa/casi.ntrs.nasa.gov/20190018062.pdf>

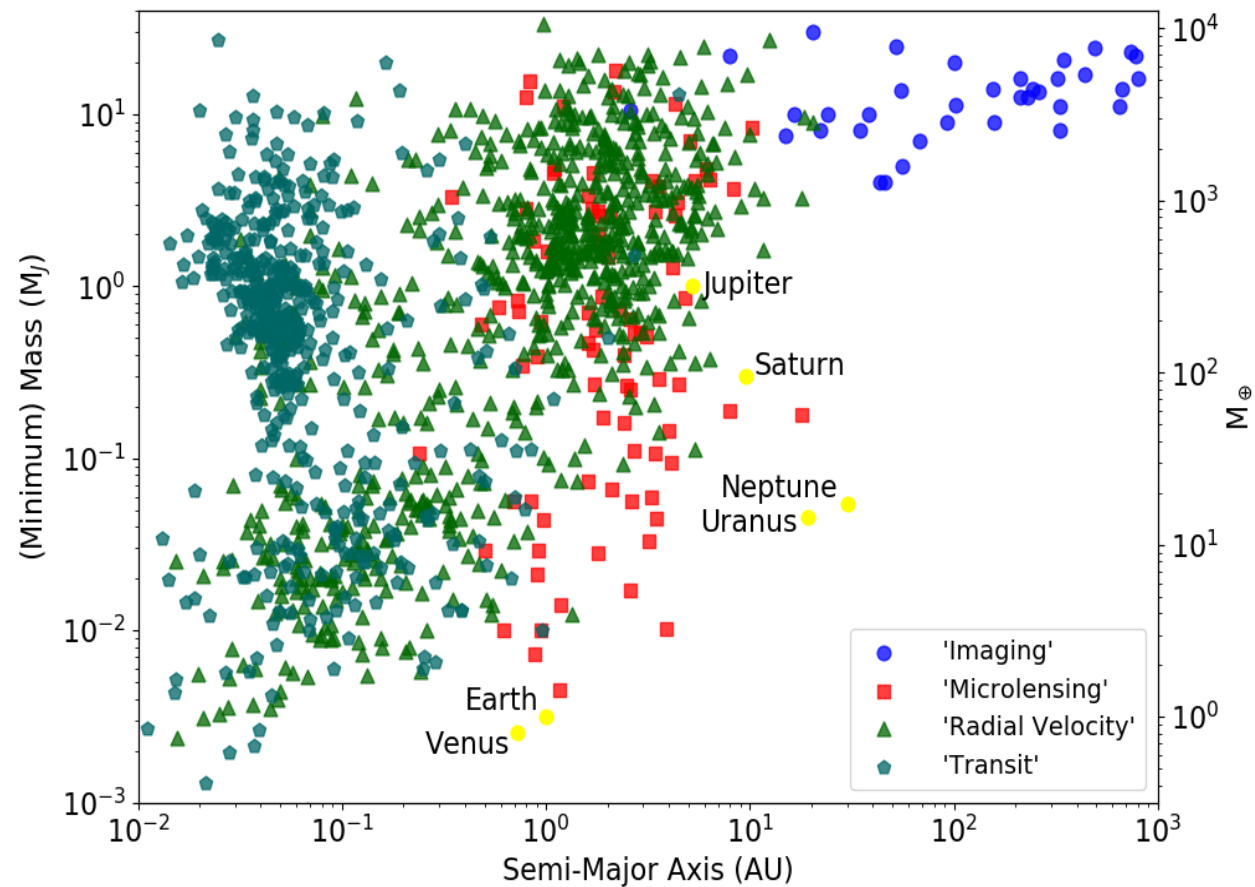
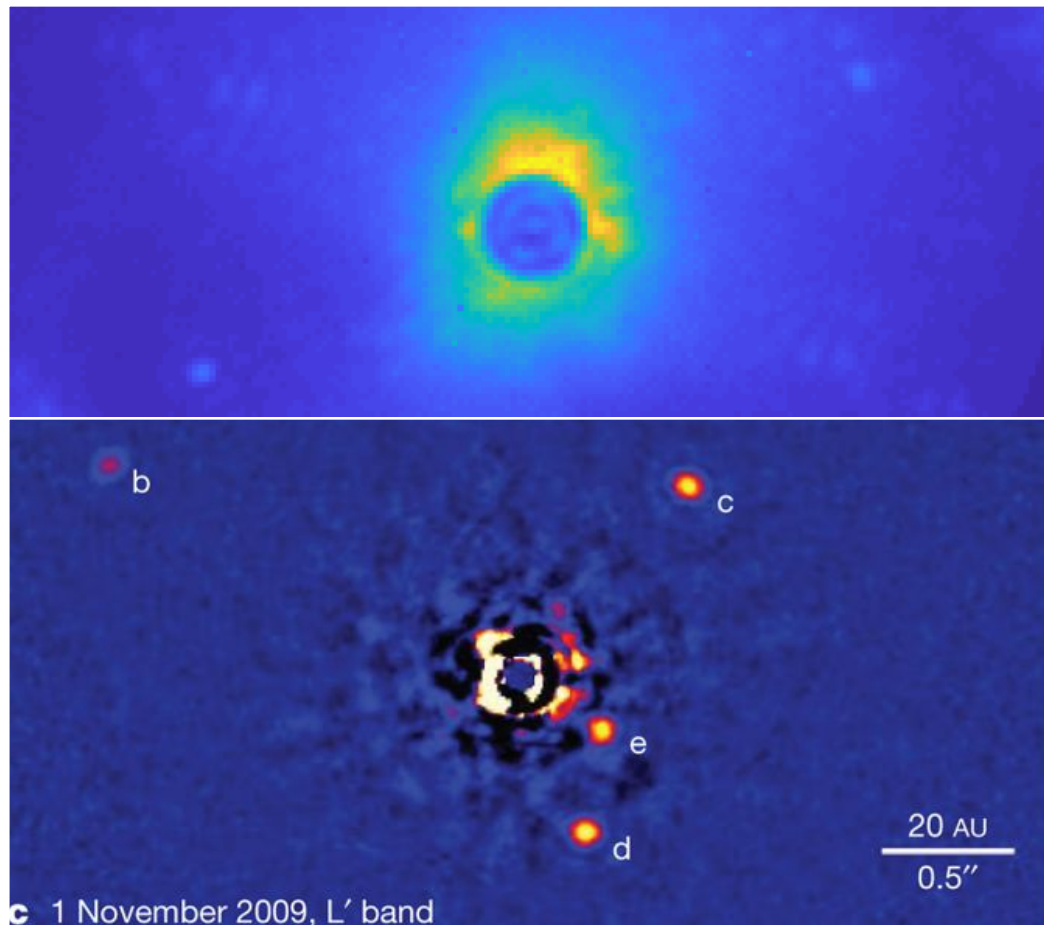
## Satellite Imagery Calibration via Dynamic Filtering

# Direct Imaging

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POST-PROCESSING WITH COMMON SPATIAL PATTERN FILTERING

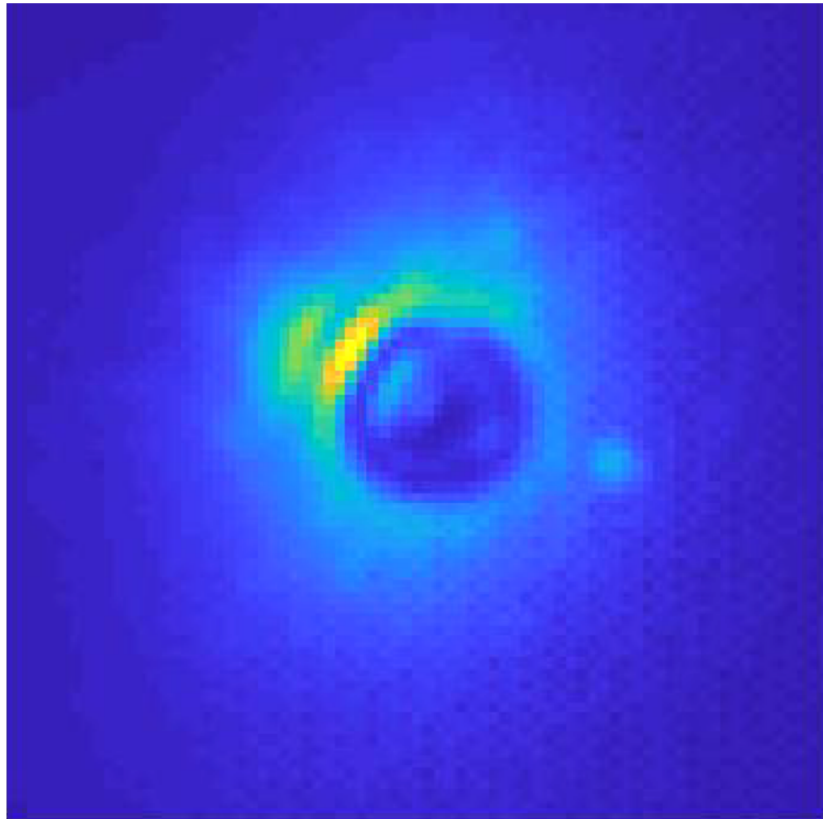
# Direct Imaging Background: Overview



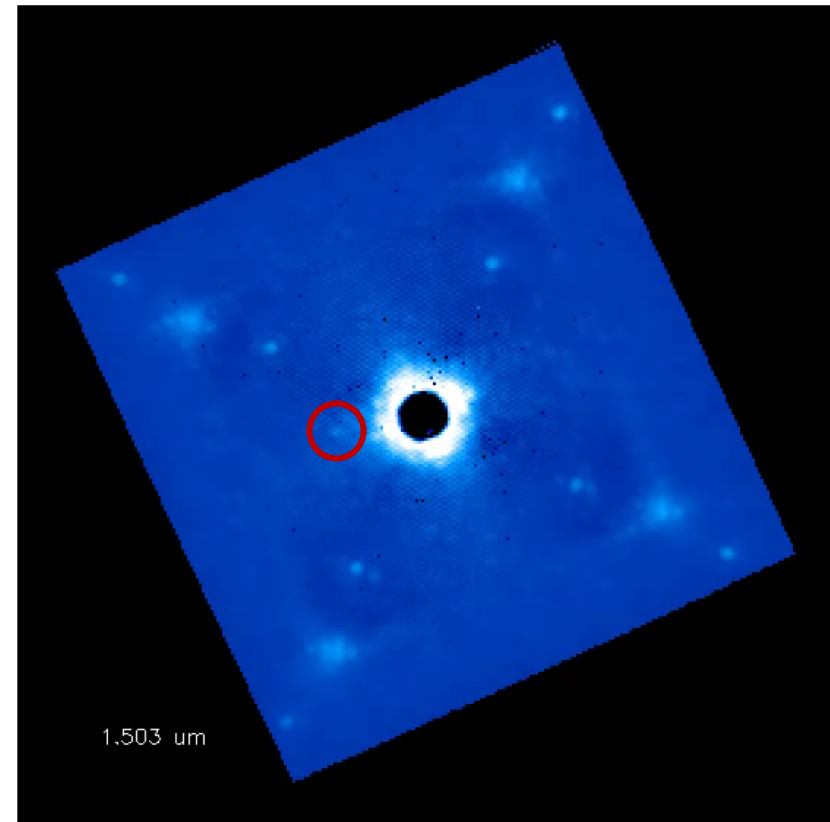
# Direct Imaging Background: Spatial Diversity of the Planet Signal

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Temporal



Wavelength



# Technical Approach: PCA and CSP

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## Principal Component Analysis

(Rao & Yip, 2000)

$$\arg \max_{\mathbf{w}} \|\mathbf{X}^T \mathbf{w}\|^2 \quad \text{s.t.} \quad \|\mathbf{w}\| = 1$$

- Finds direction of maximum variance
- Models the noise
- Subtracts the noise

## Common Spatial Pattern Filtering

(Ang et al, 2008)

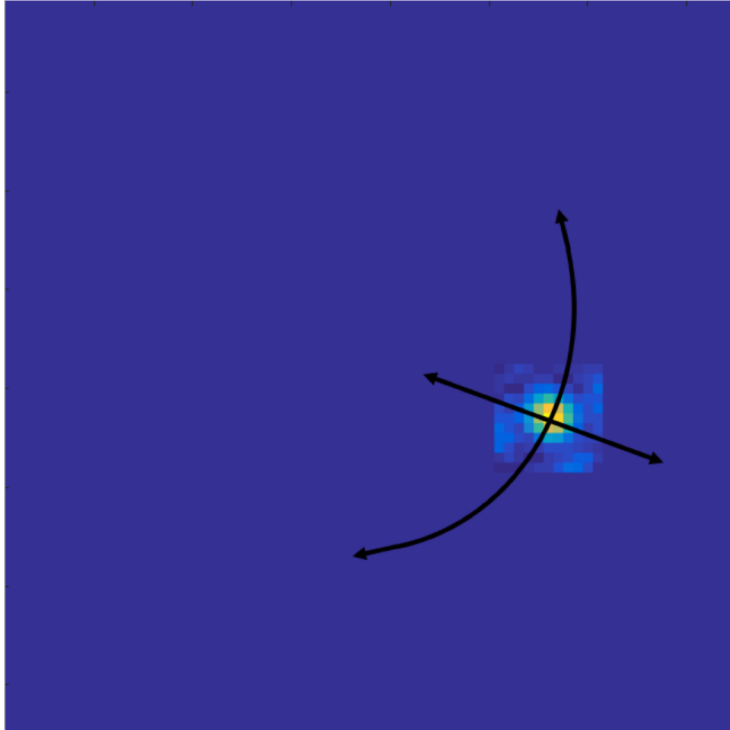
$$\arg \max_{\mathbf{w}} \frac{\|\mathbf{X}_1^T \mathbf{w}\|^2}{\|\mathbf{X}_2^T \mathbf{w}\|^2} \quad \text{s.t.} \quad \|\mathbf{w}\| = 1$$

- Finds direction of maximum difference
- Planet should be part of the “difference”
- Models the planet signal

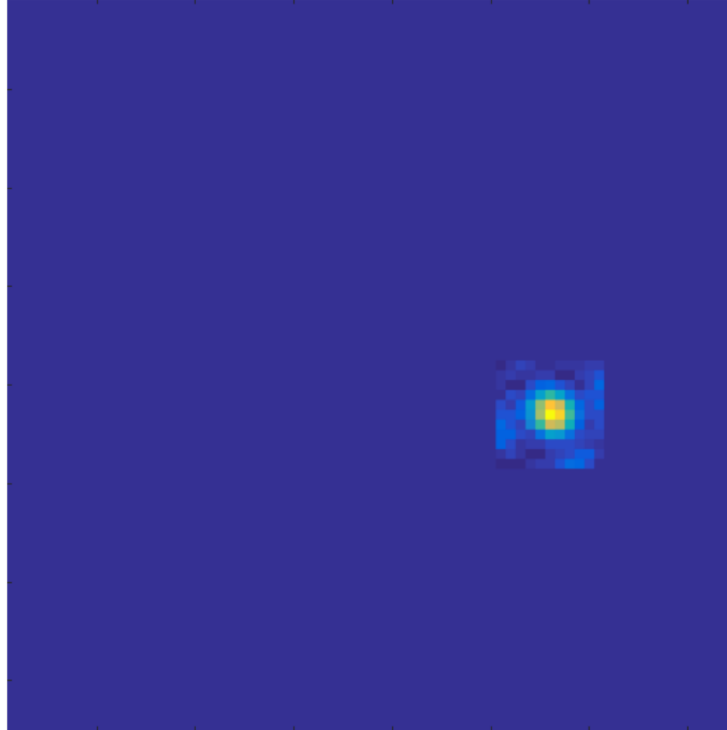
# Technical Approach: Implementation

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Dataset 1:



Dataset 2:



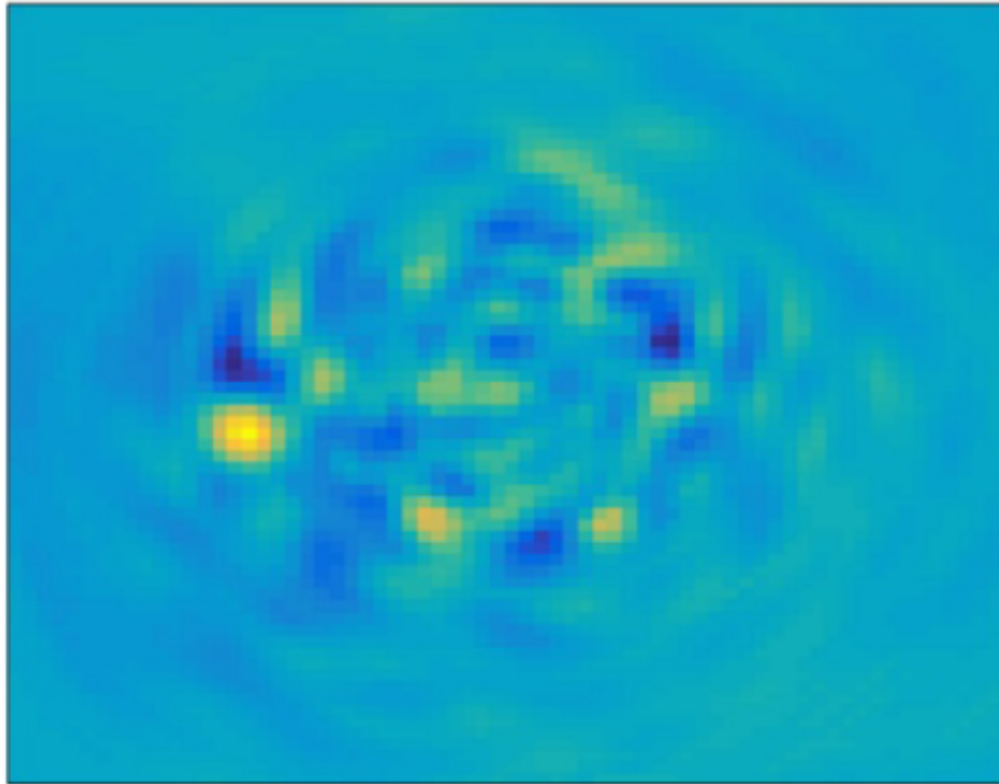
$$\mathbf{Z} = \Phi^{-1/2} \mathbf{W}^T \mathbf{P} \mathbf{X}_1$$

Final modes contain planet signal  
Sum together final k modes

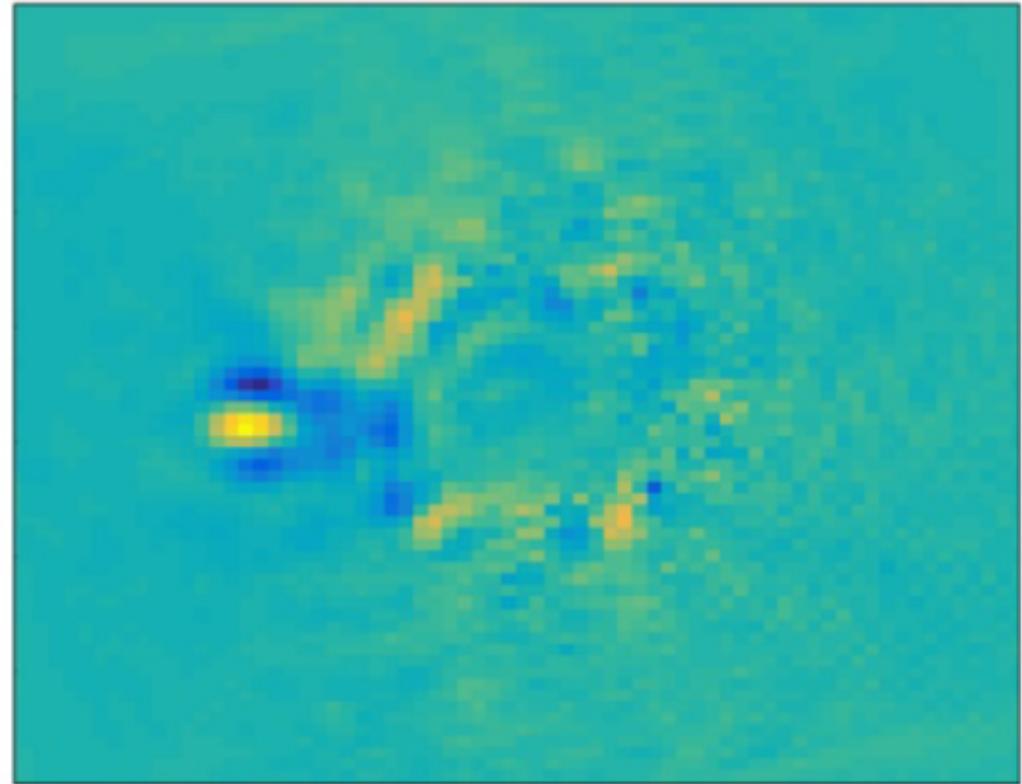
# Preliminary Qualitative results

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CSP

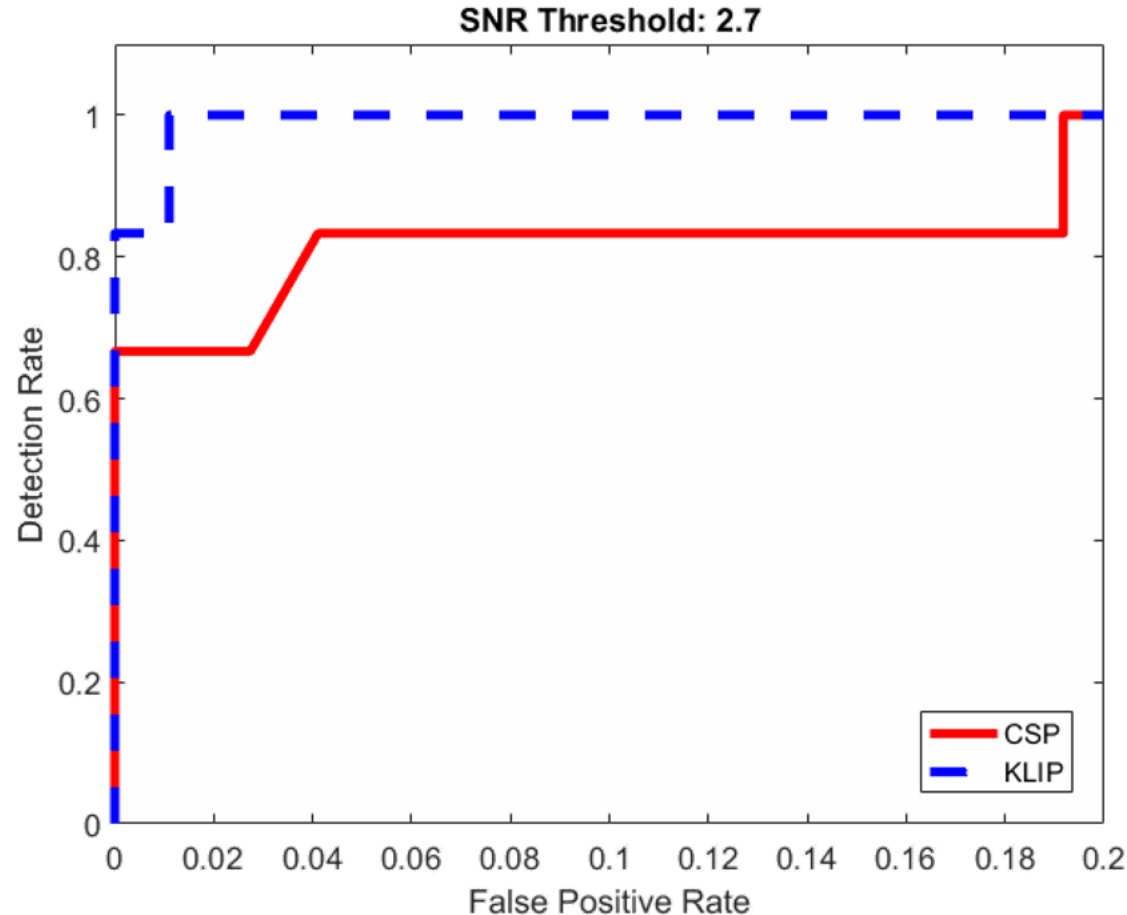


KLIP





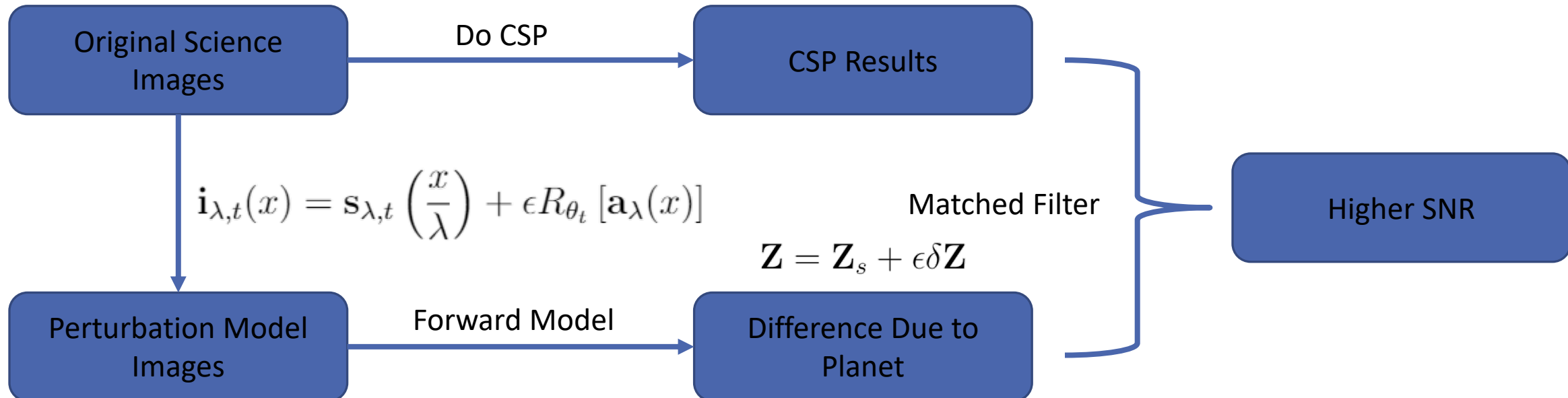
# Preliminary Quantitative Results: Receiver Operating Characteristic Curve



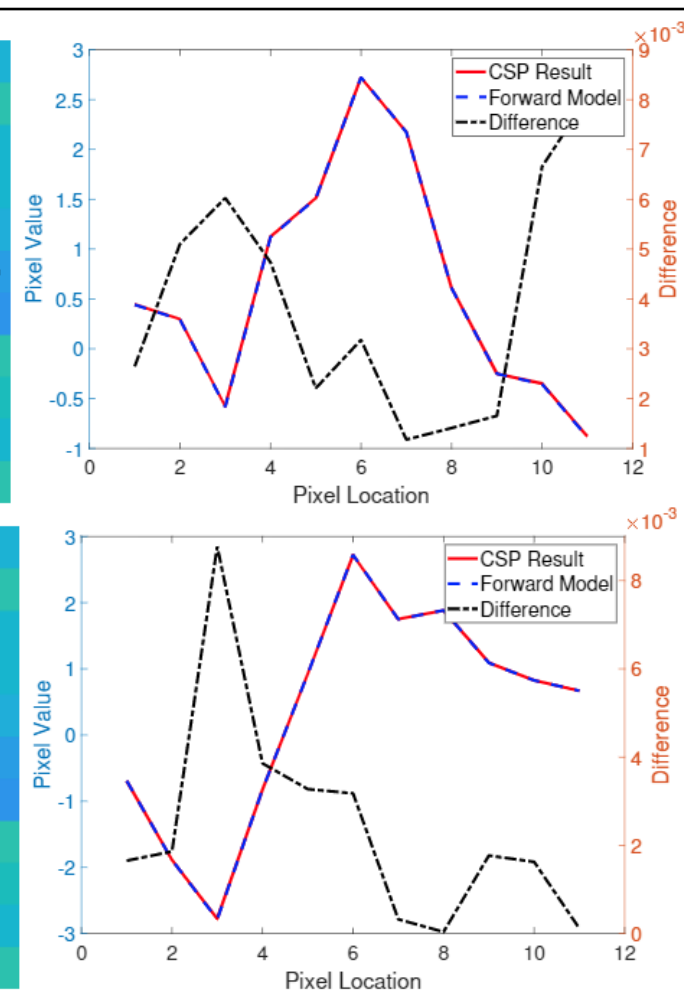
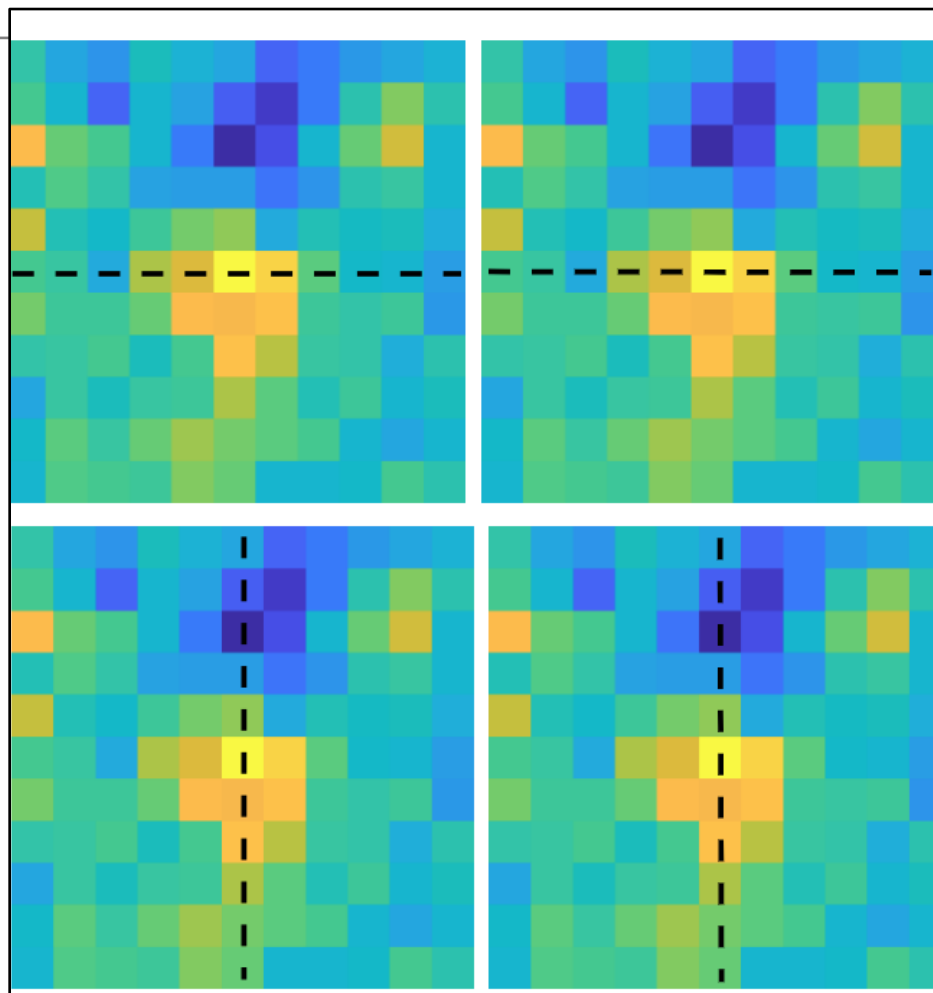
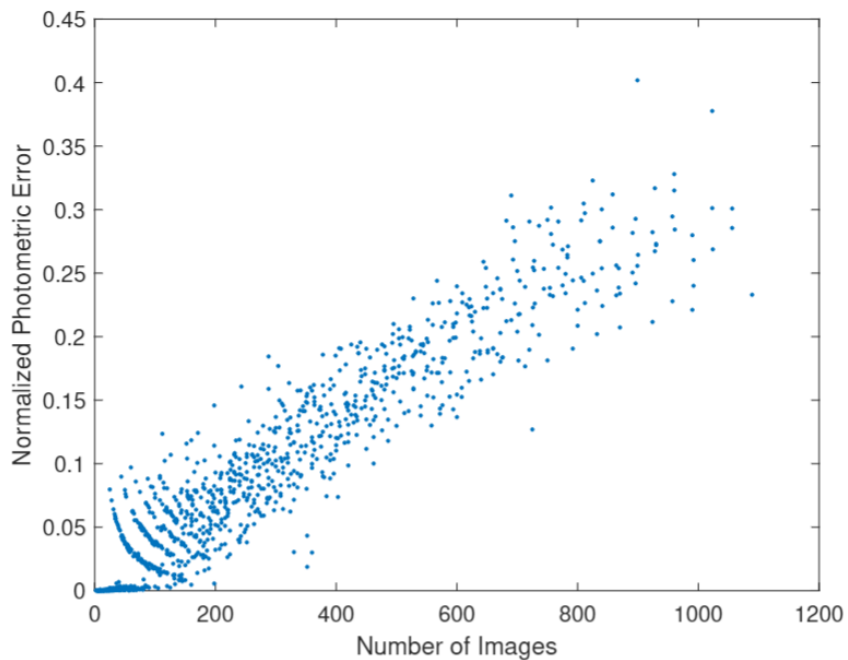
# New Technical Approach: Forward-Model Matched Filter

We want:

- Increase signal to noise ratio
- Remove false positives
- Model only the effects of the planet

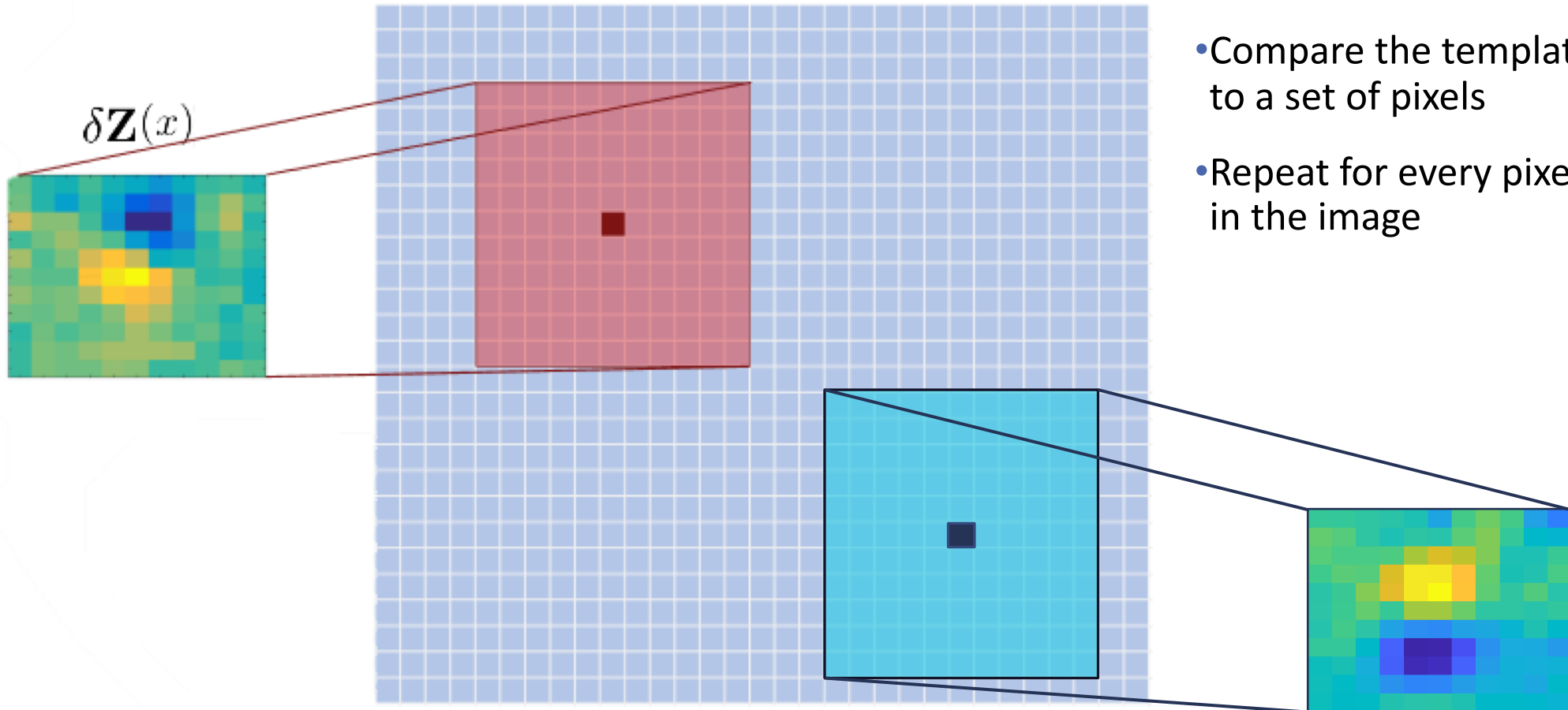


# Results: FM Accuracy



# Matched Filter

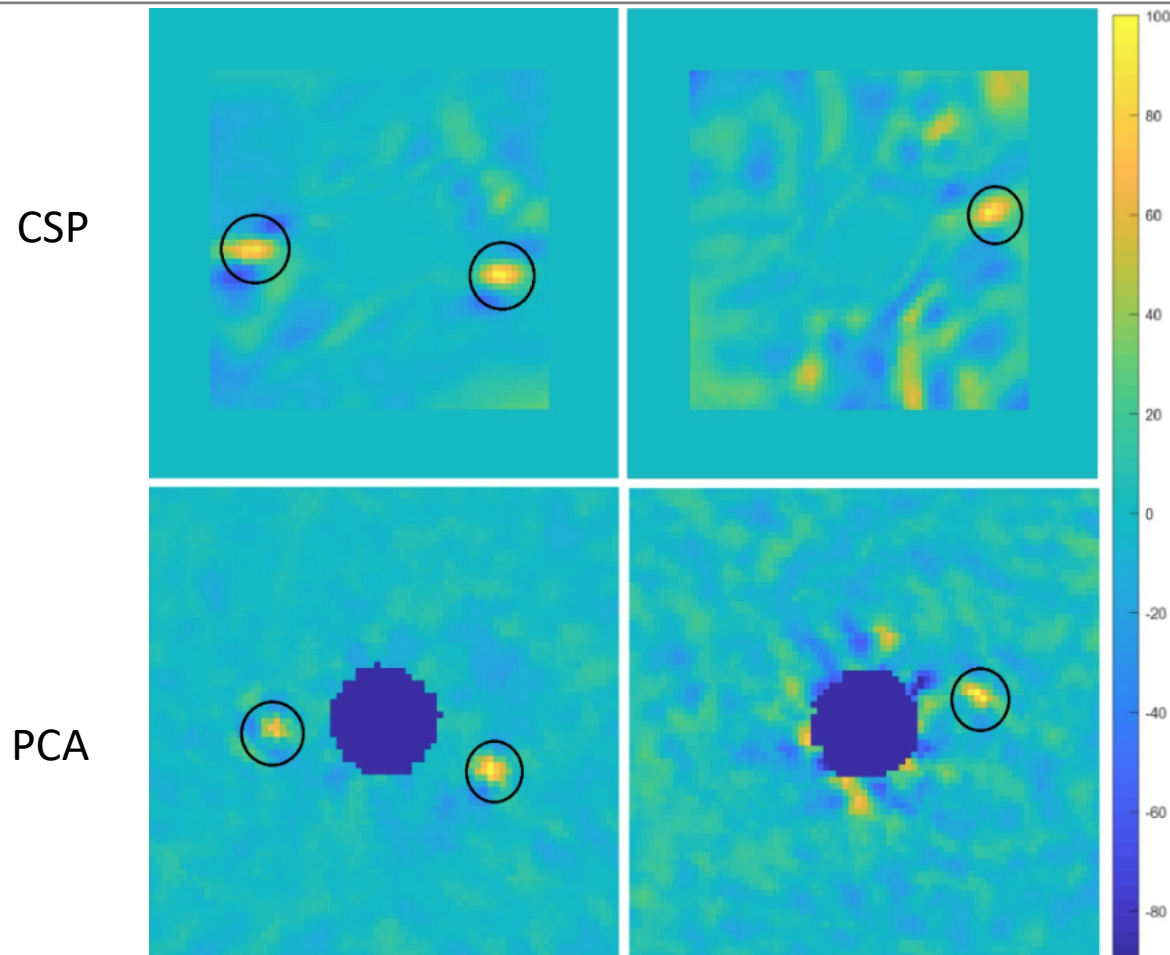
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# Results

$\beta$  Pictoris

HD 14706



Signal to Noise Ratios

	CSP	PCA
B Pic 1	14.47	13.05
B Pic 2	11.79	9.97
HD 14706	6.90	10.65

# Algorithmic Improvements and Statistical Analysis

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# Algorithmic Updates

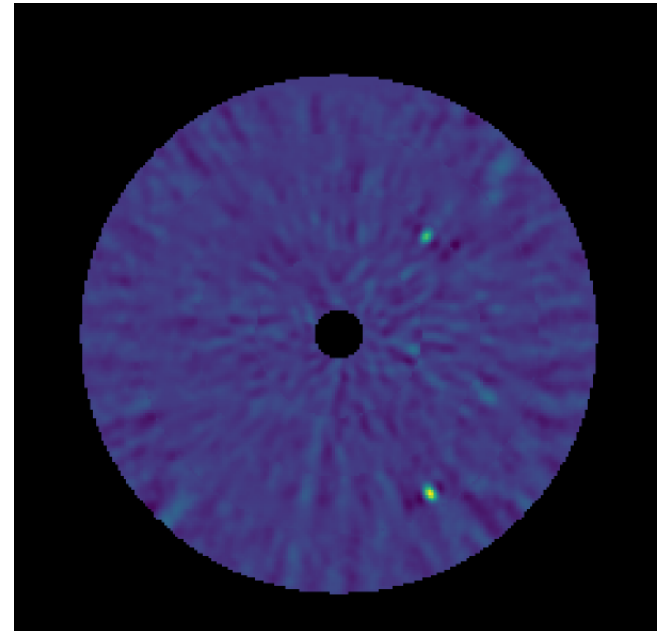
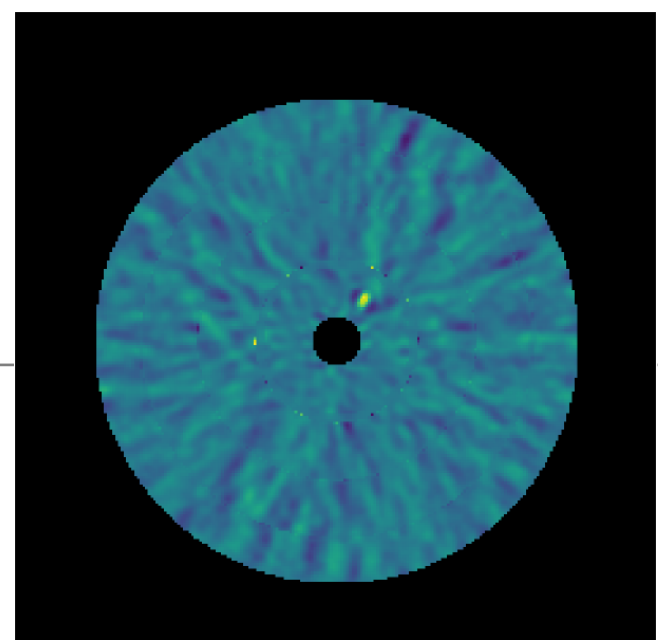
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## Structural Changes

- Full-size datasets
- Rewritten in Python for integration into pyKLIP
- Parallelized

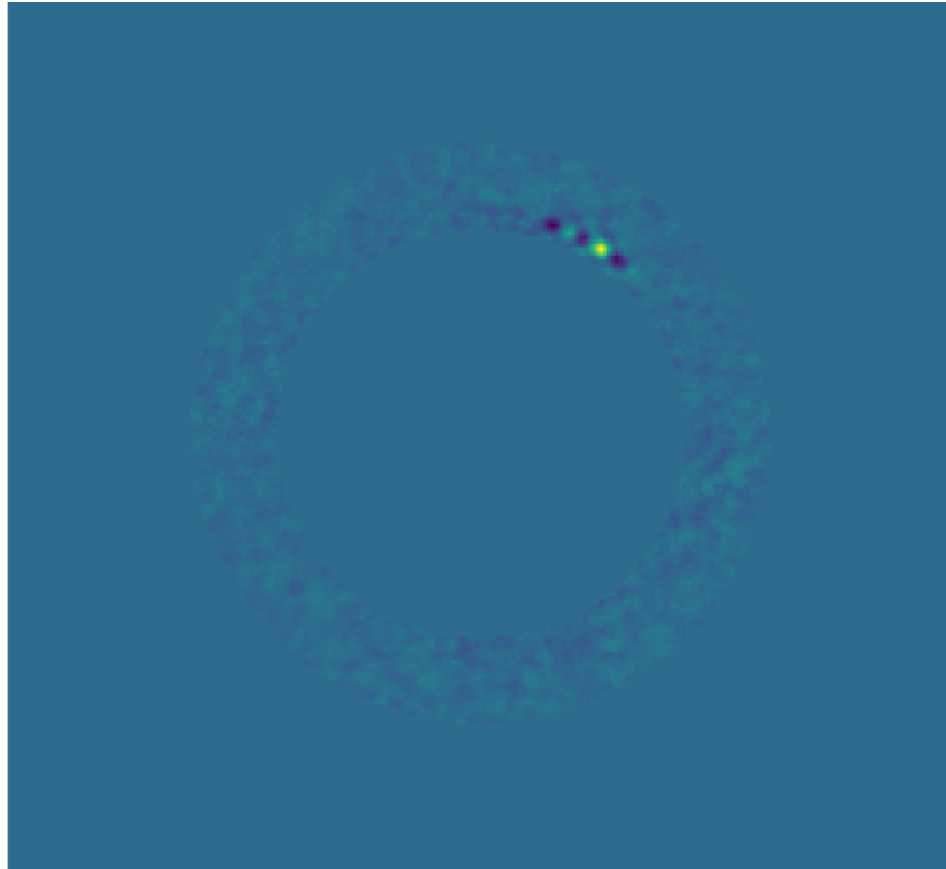
## Algorithmic Changes

- Segmentation
- Matched Filter Template Threshold
- SNR Mapping



# Segmentation

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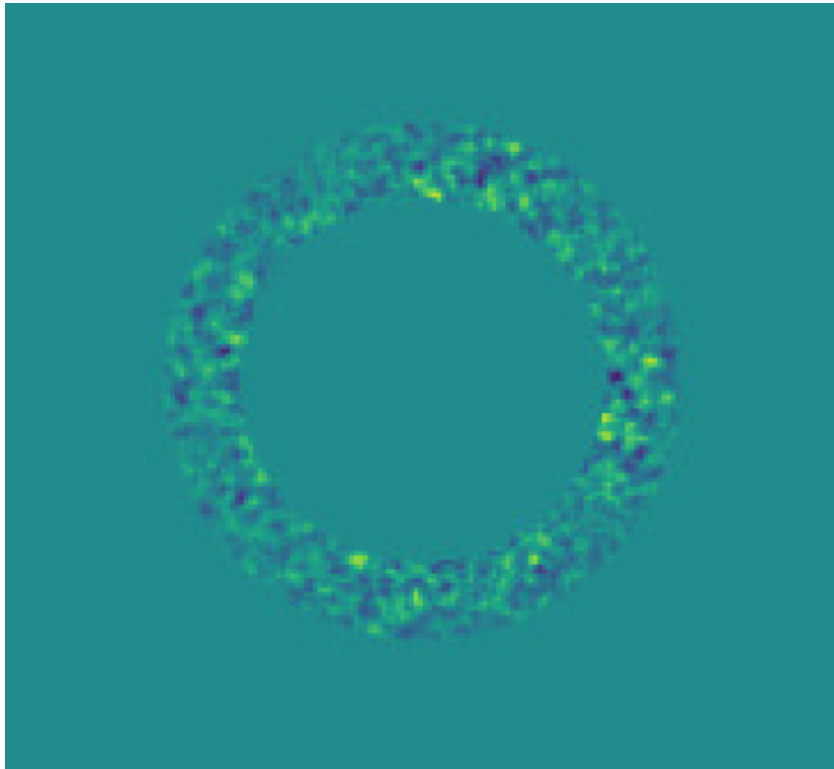
- Matrix sizes scale geometrically
  - Requires subdividing the images
- Noise assumed to be constant at the same separations
- Planet signal dispersed in an arc in unknown locations
- Segment must be full annuli



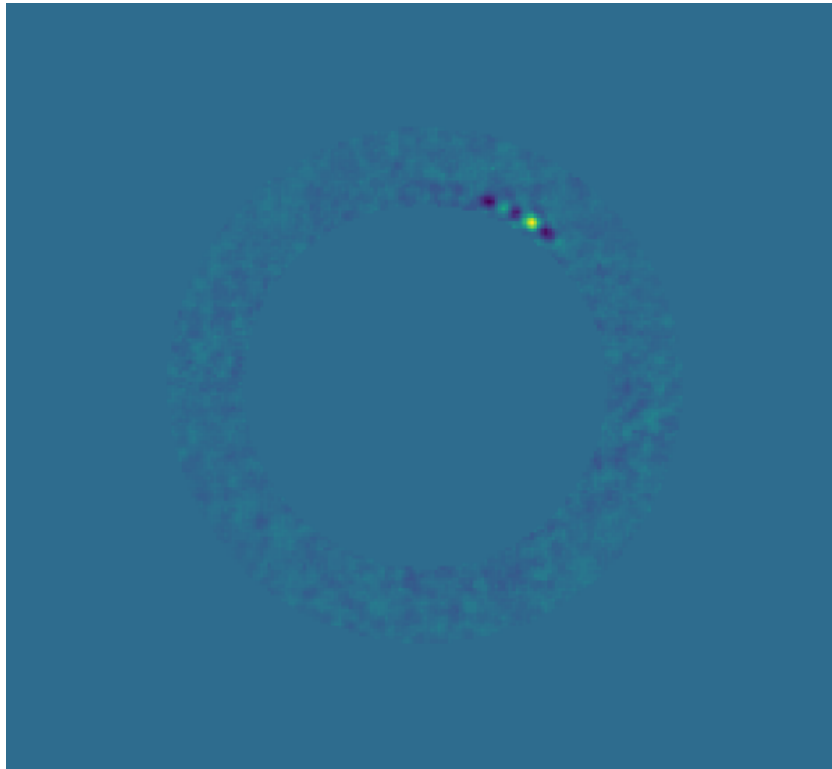
# MF Template Threshold

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CSP Result



Original Template

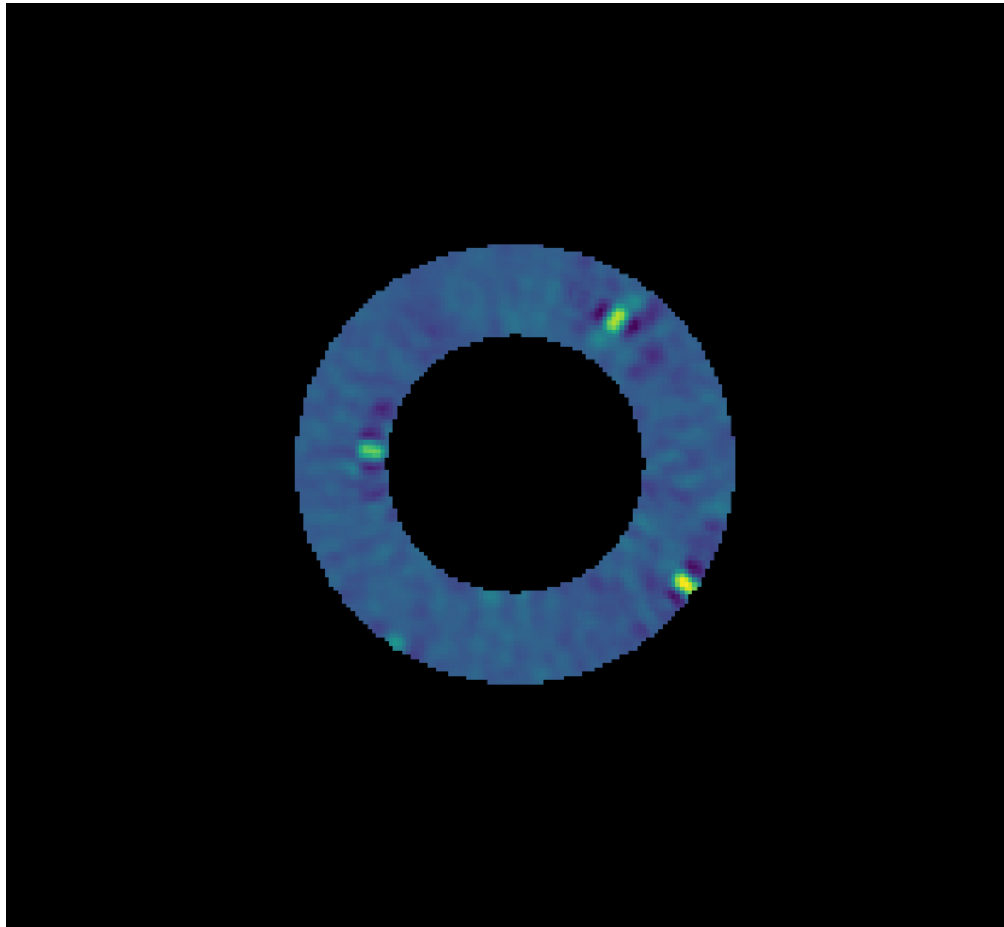


Threshold Template

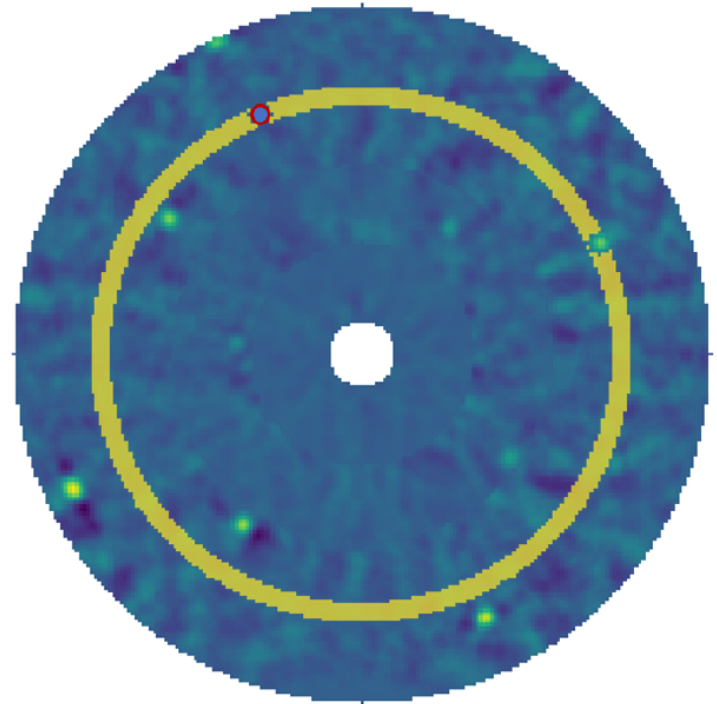


# SNR Mapping

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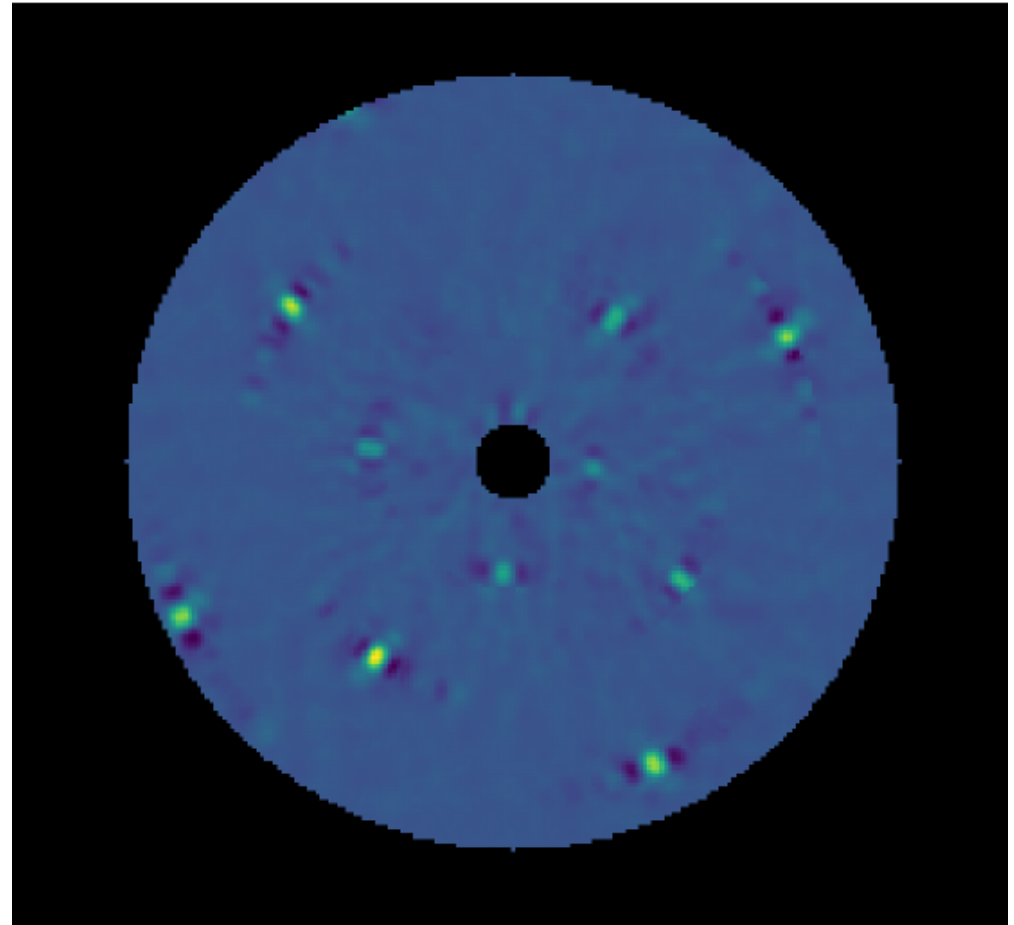


$$SNR = \frac{\hat{S}(x) - \mu(x)}{\sigma(x)}$$




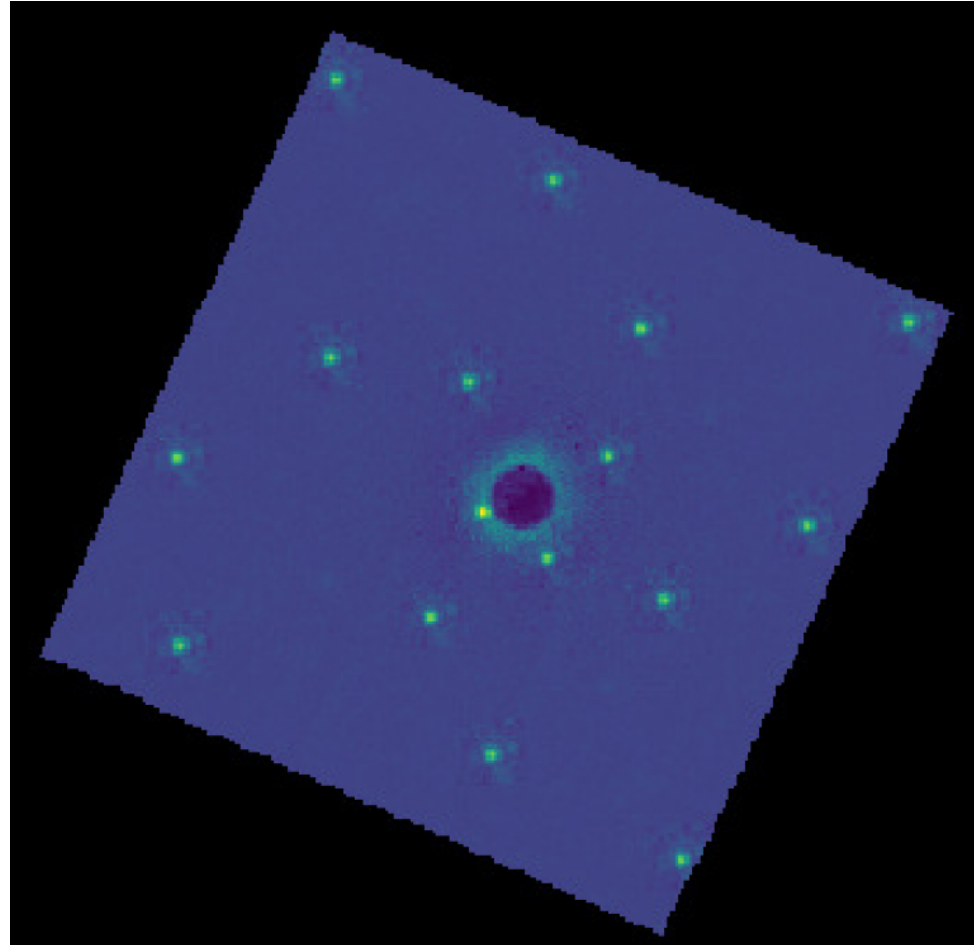
# SNR Mapping

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# Target Selection and Injection

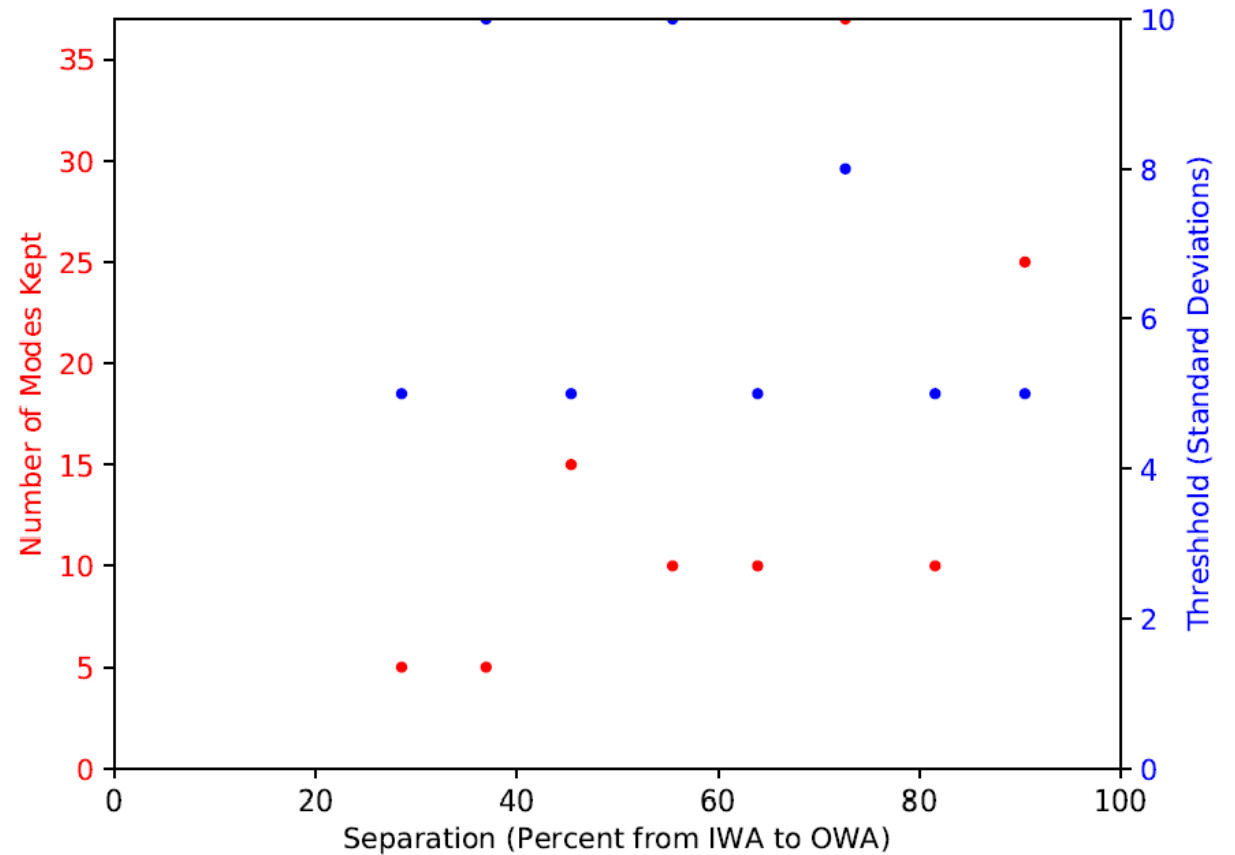
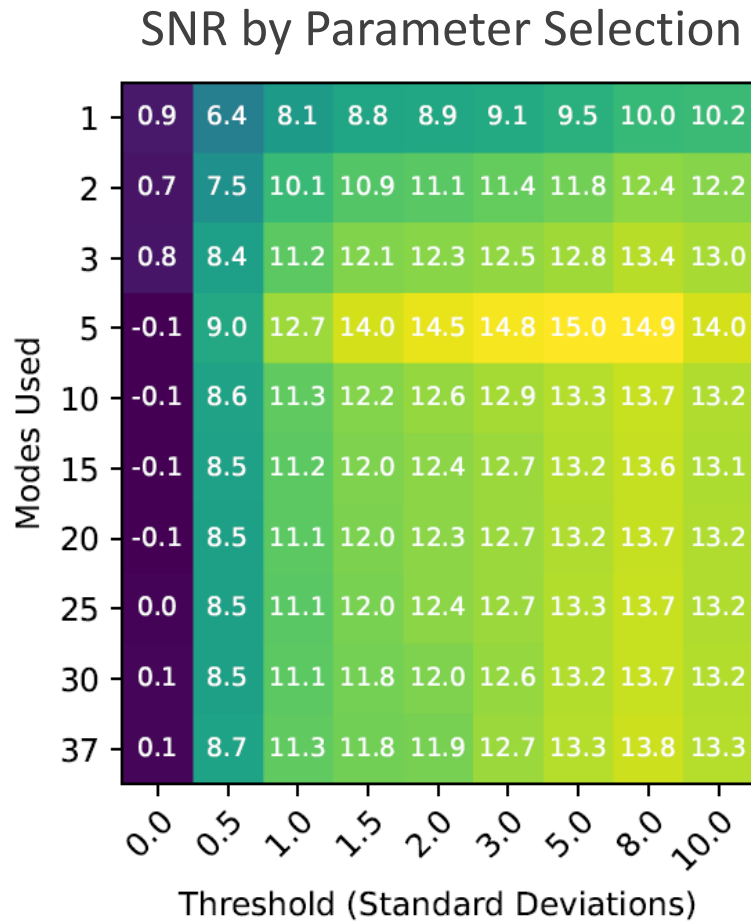
- GPIES Target 
- H-Band
- Between 30 and 45 images
- Integration time between 59 and 60 seconds
- No observing errors
- No debris/dust disks
- 337 different observations



Contrasts:

- $5 \times 10^{-5}$
- $5 \times 10^{-6}$
- $5 \times 10^{-7}$

# Global Parameter Selection



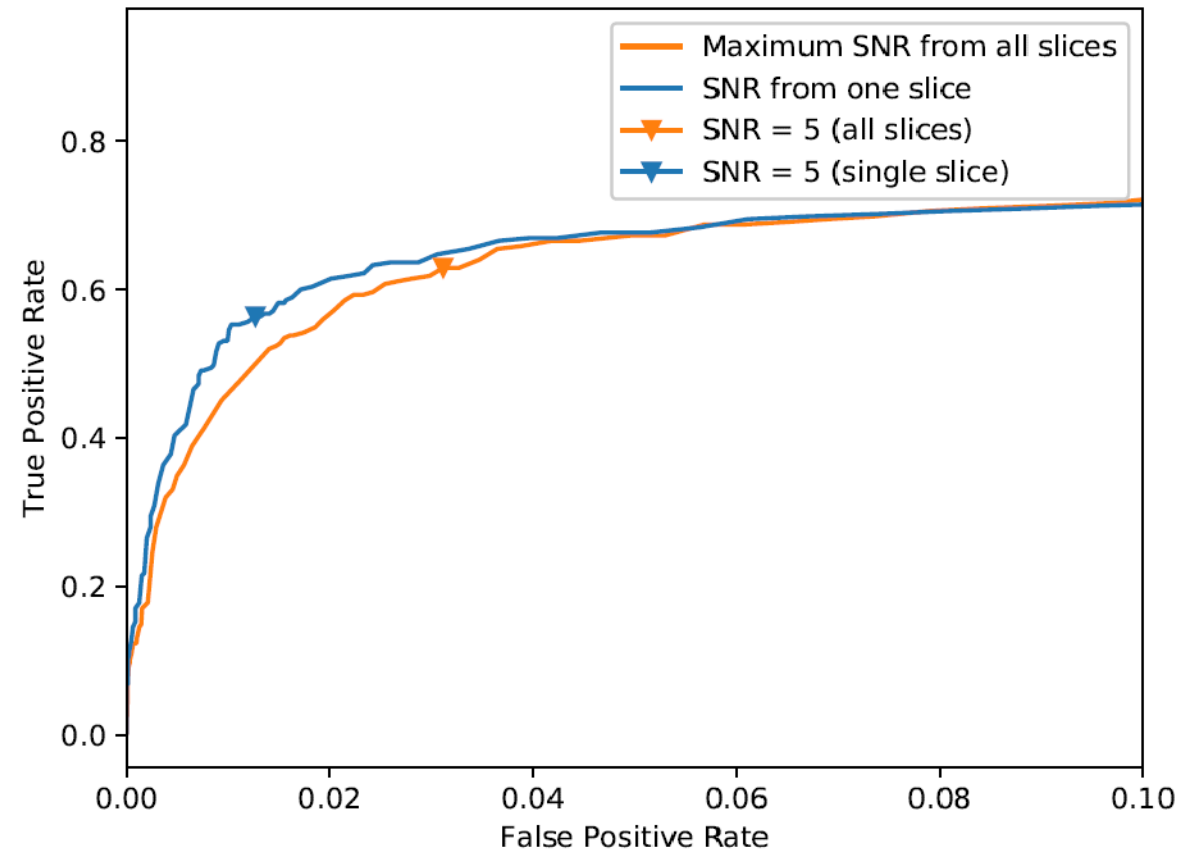
# Parameter Selection

Option 1 (most common peak):

- Threshold = 5 sigma
- 10 modes kept

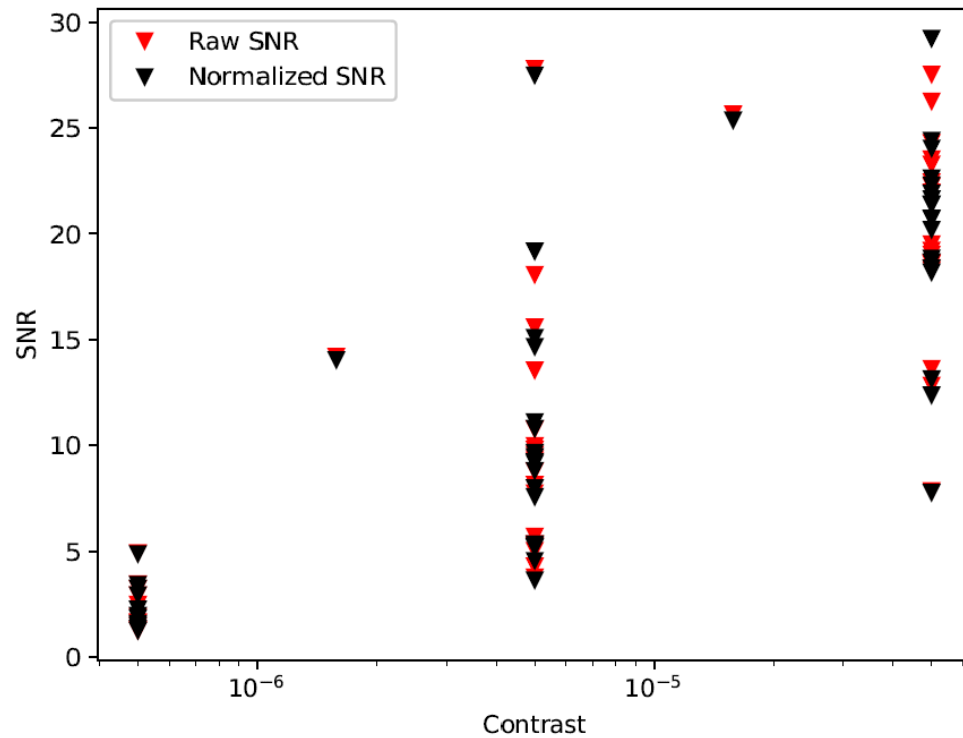
Option 2:

- Analyze data with all combinations of parameters
- Select best combination for every pixel

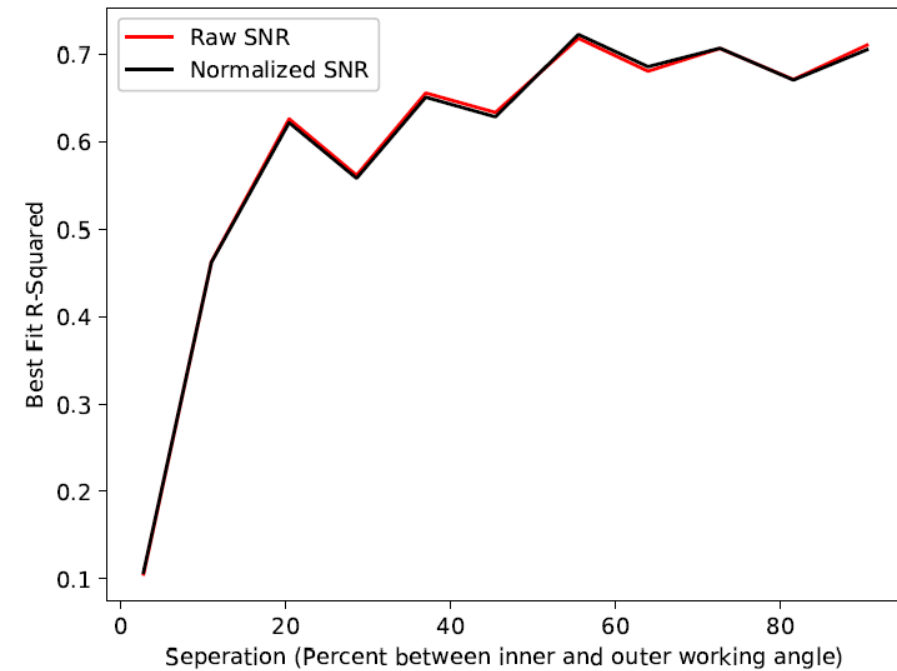


# Results: SNR Contours

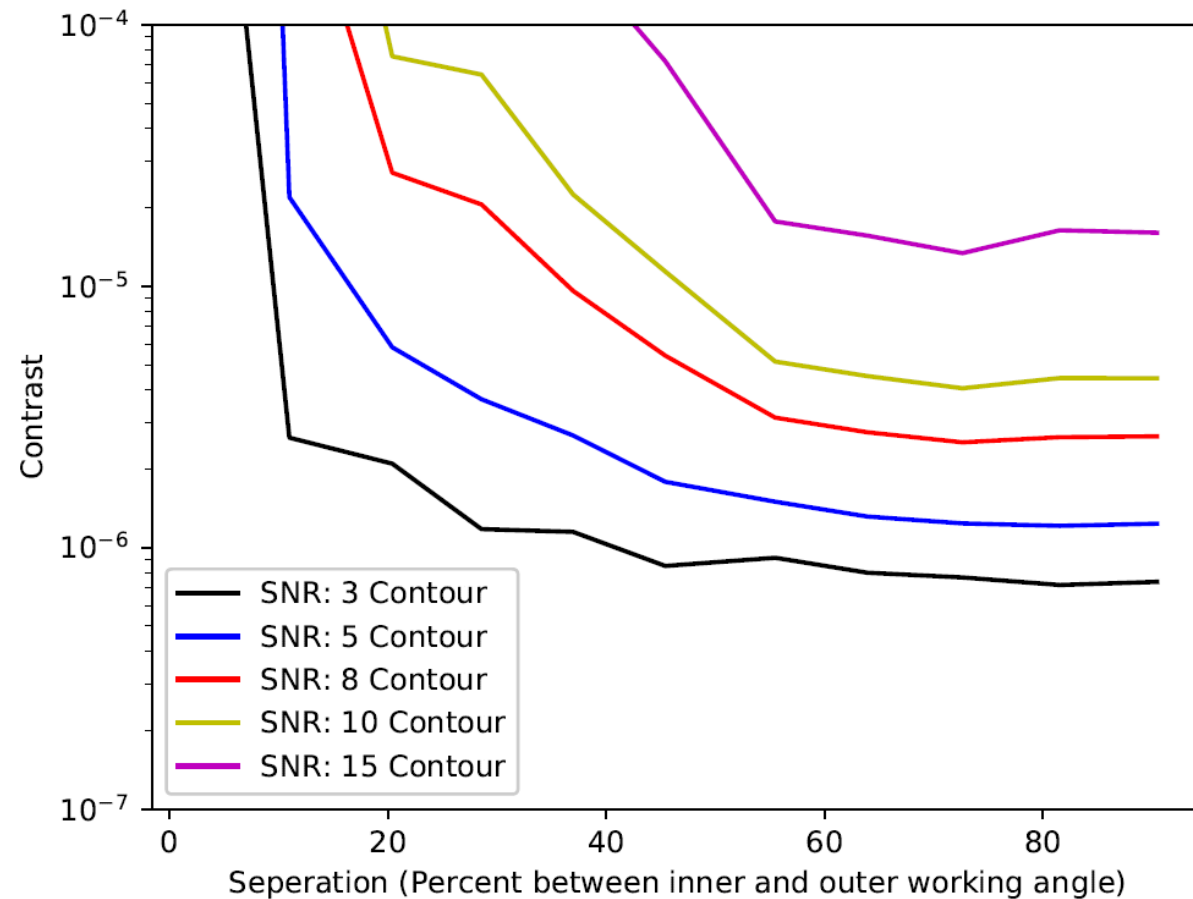
Sample for a single separation, find the best linear fit between contrast and SNR:



SNR is proportional to the square root of integration time, requiring normalization

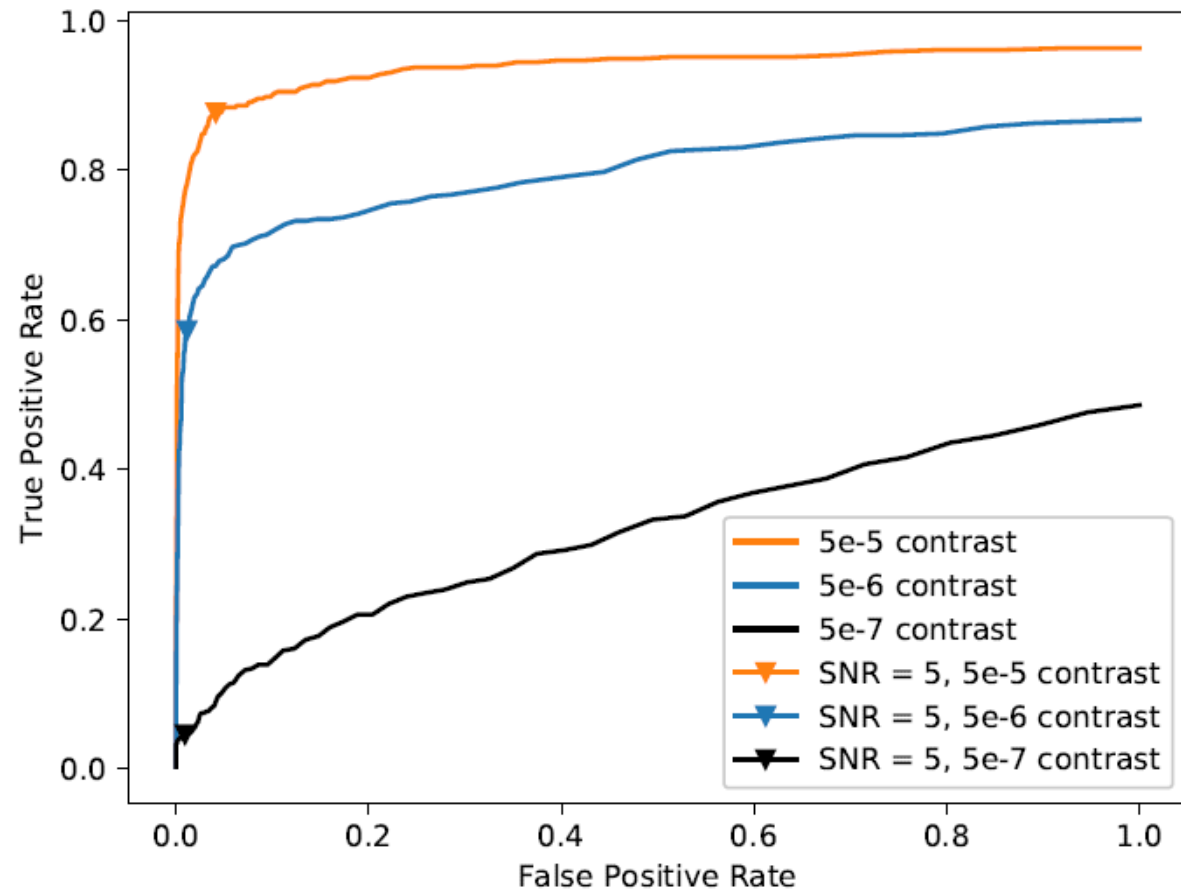


# Results: SNR Contours





# Results: ROC Curve



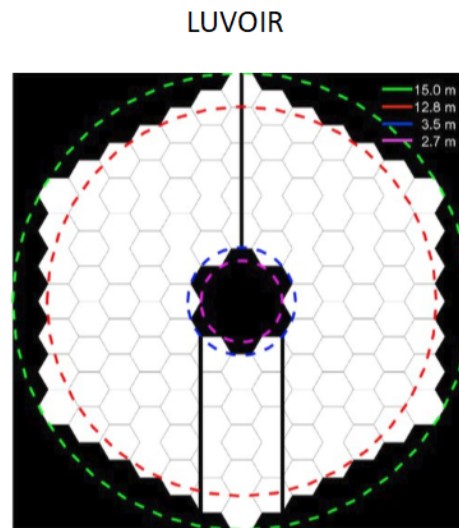
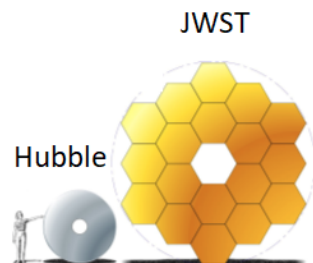
# Optical Design

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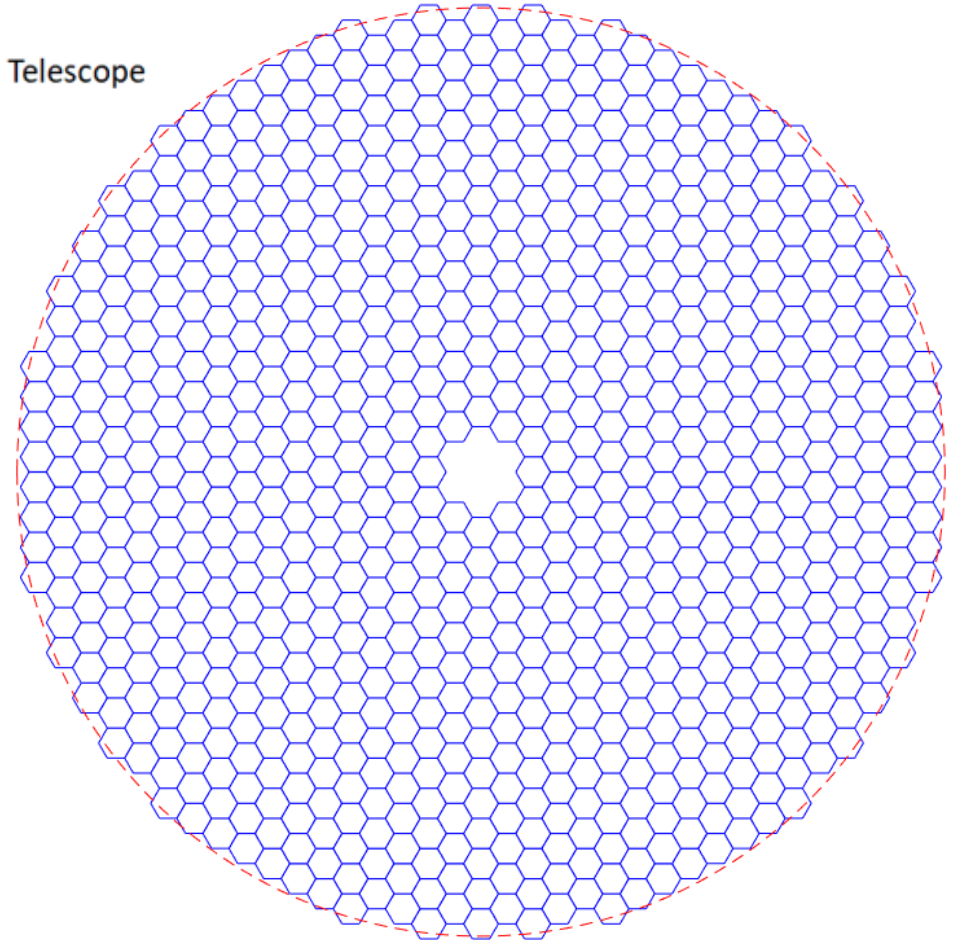
LARGE, SEGMENTED, SELF-ASSEMBLING SPACE TELESCOPE

# Mission Concept and Architecture

- Approximately 1,000 identical, mass-produced spacecraft
- Spacecraft travel via solar sail to L2
- Each spacecraft combines to form one large telescope via autonomous in-space assembly
- Each flat mirror modulated to control shape
- Completed structure combines with instrument spacecraft and secondary mirrors



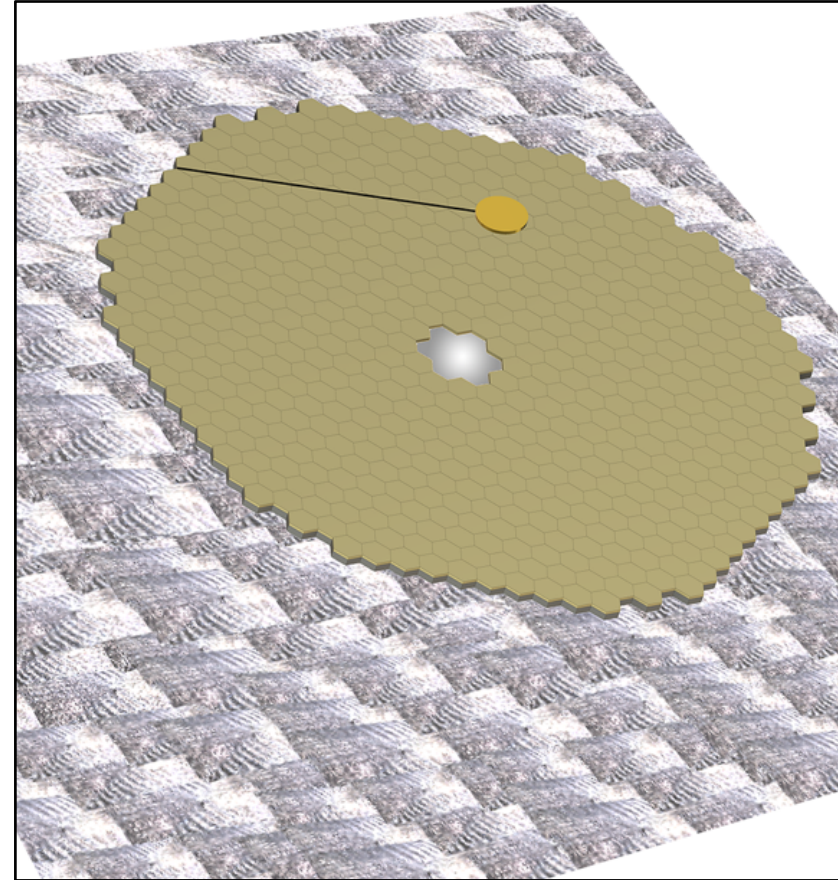
31 Meter Telescope



# Optical Design Drivers

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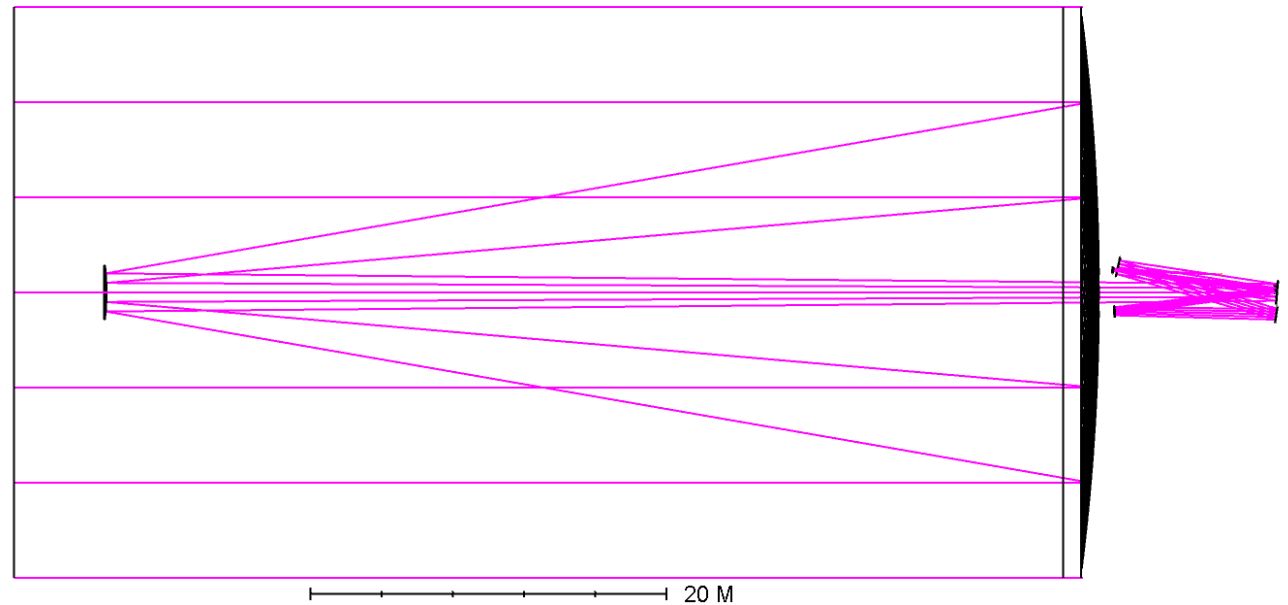
- Identical mirrors
- Feasible actuation scheme
- Monolithic secondary
- Static wavefront error < 9.5 nm RMS
- Focused point spread function



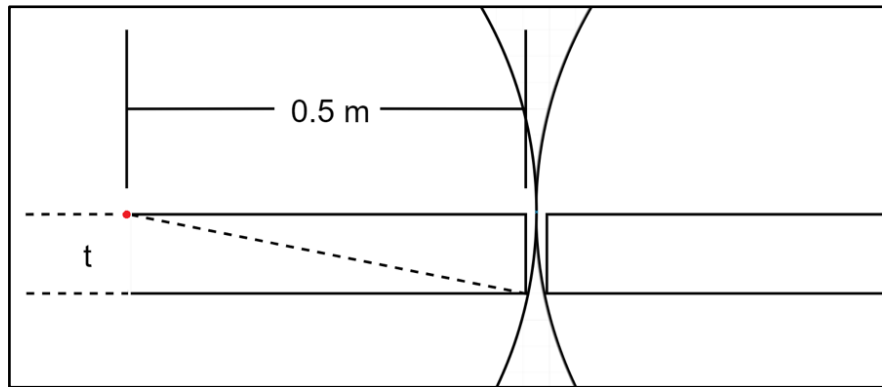
# Telescope Design

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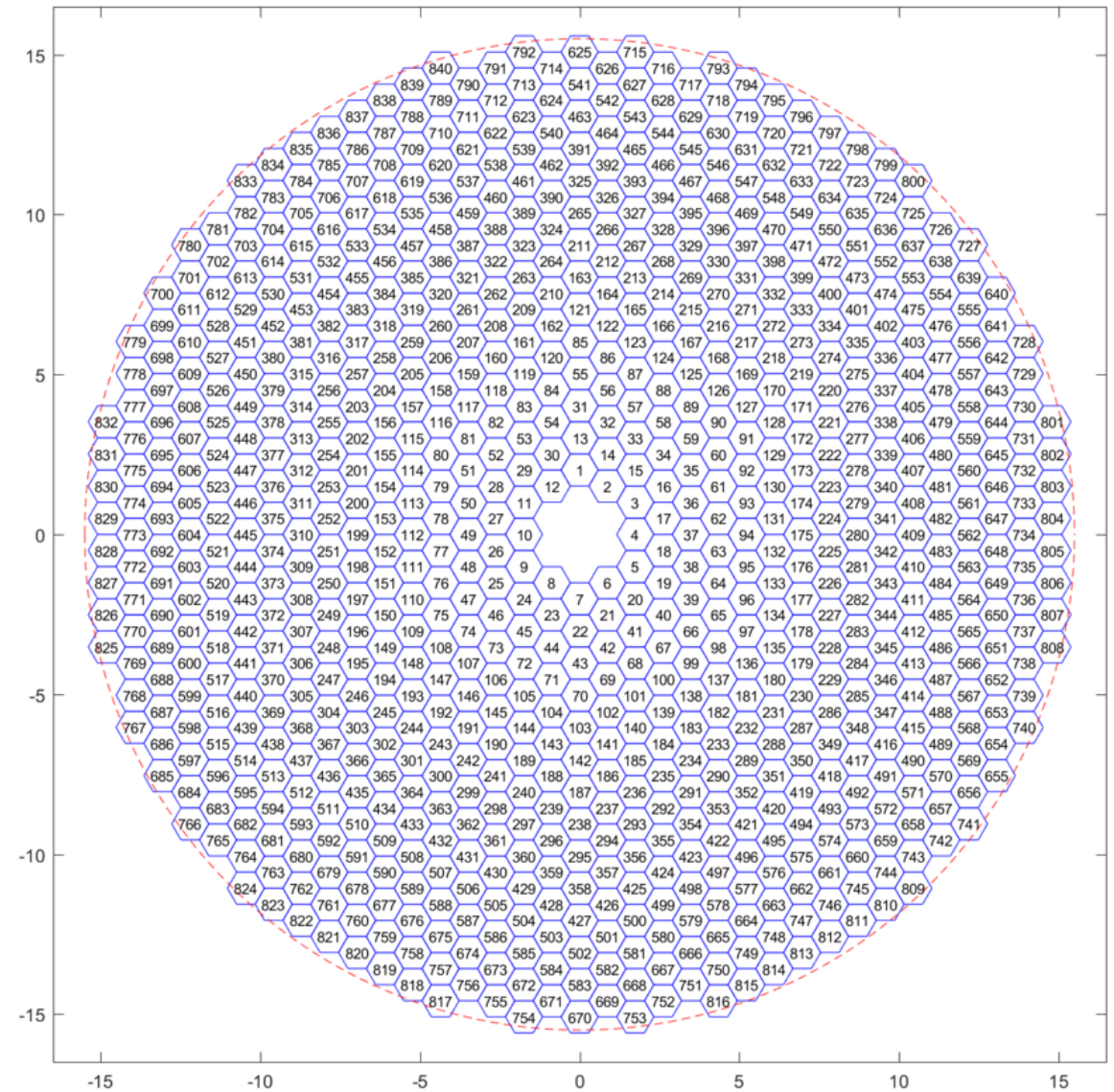
- Ritchey-Chrétien Cassegrain design
- Primary focal length  $f/2$
- Secondary – monolithic 3.06 m
- Each primary segment is 1m, flat-to-flat
- Total effective focal length of  $f/5.6$



# Segmentation



840 Mirrors



# Mirror Modal Decomposition

- Zernike decomposition of each mirror

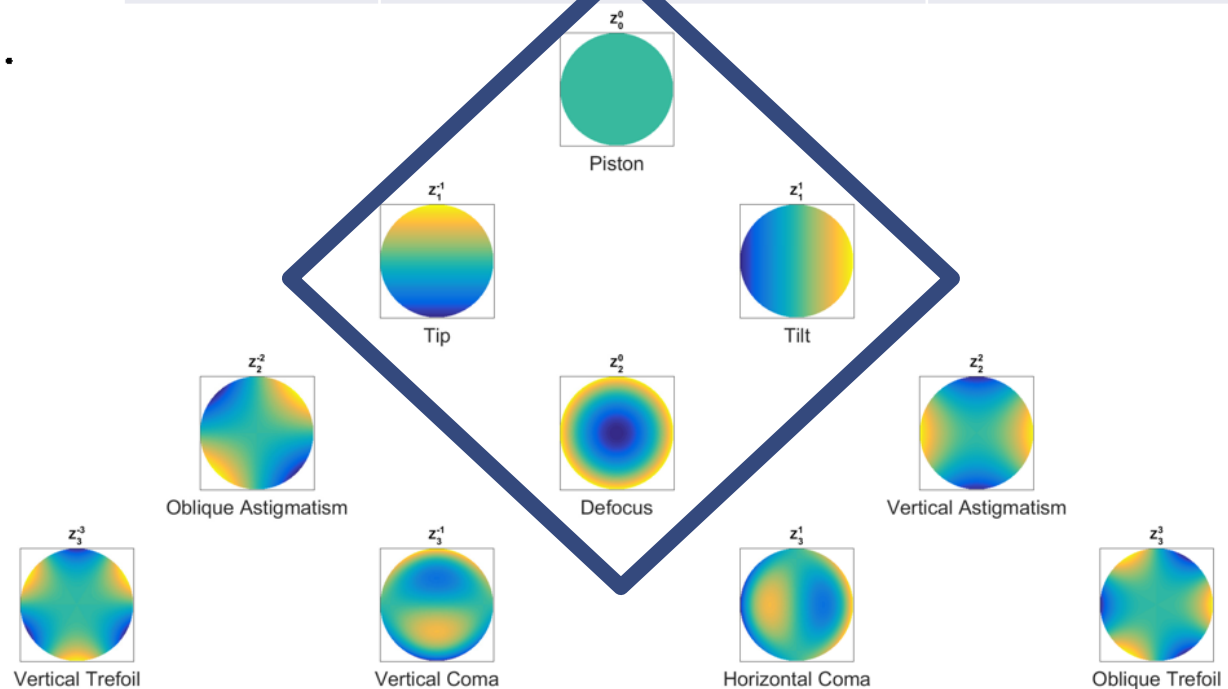
- Ideal Shape:

$$z = \frac{R + \sqrt{R^2 - (K + 1)r^2}}{K + 1}$$

- JWST actuators can provide:

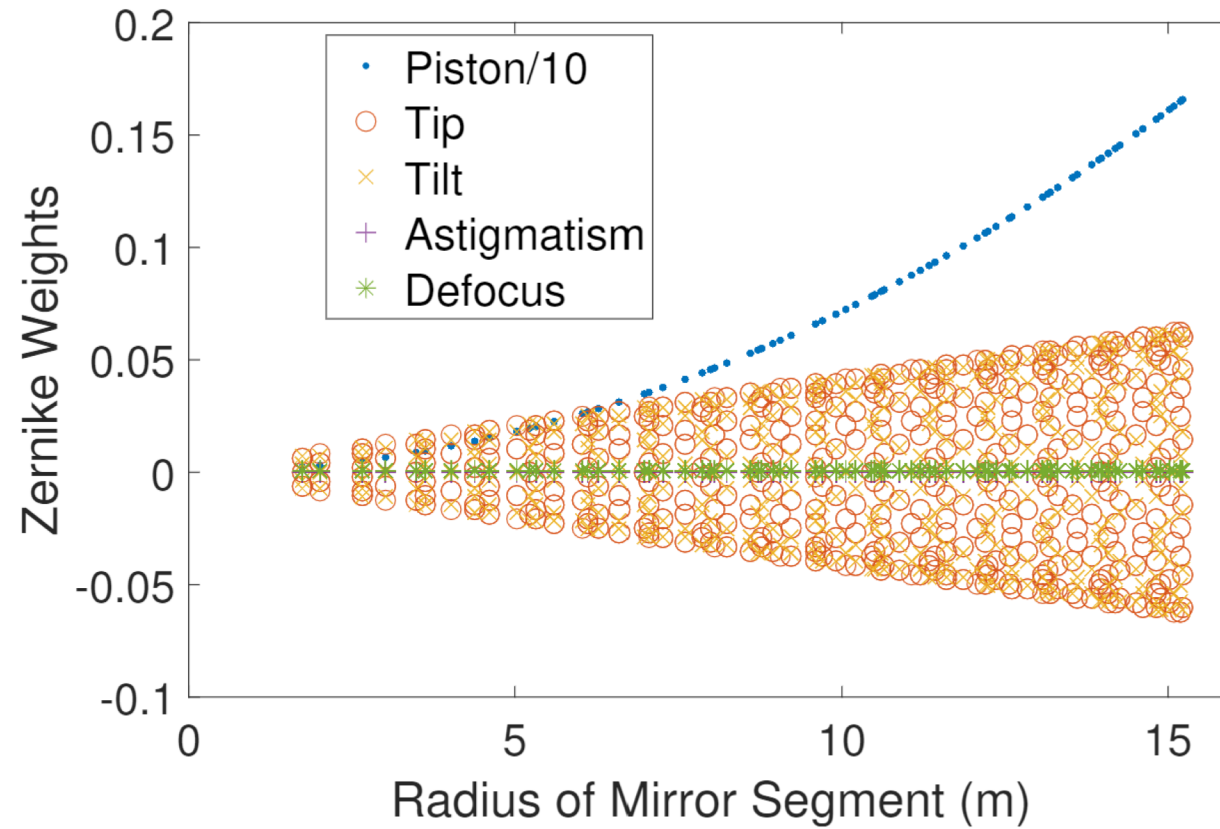
- Piston
- Tip/Tilt
- Defocus

	Radius of Curvature (m)	Conic Constant
Primary	124	-1.000615574022776
Secondary	12 088	-1.241807651272672



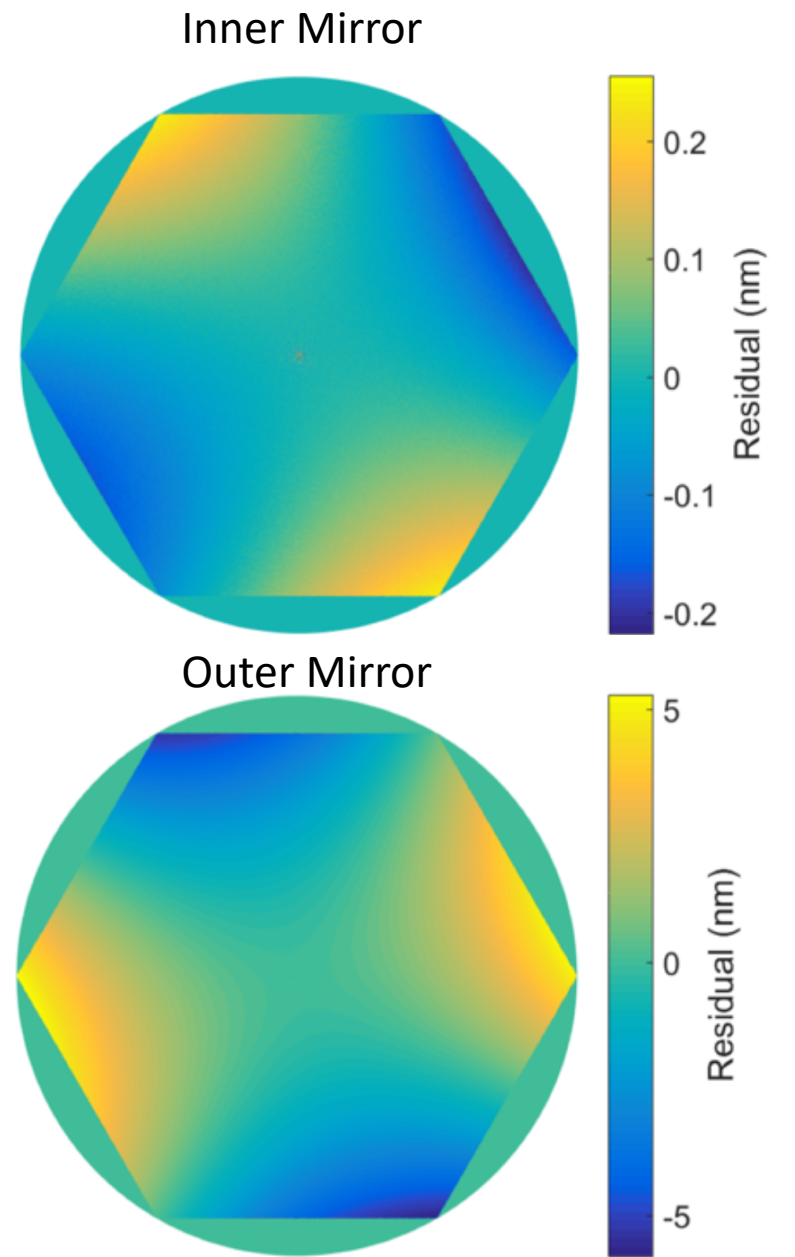
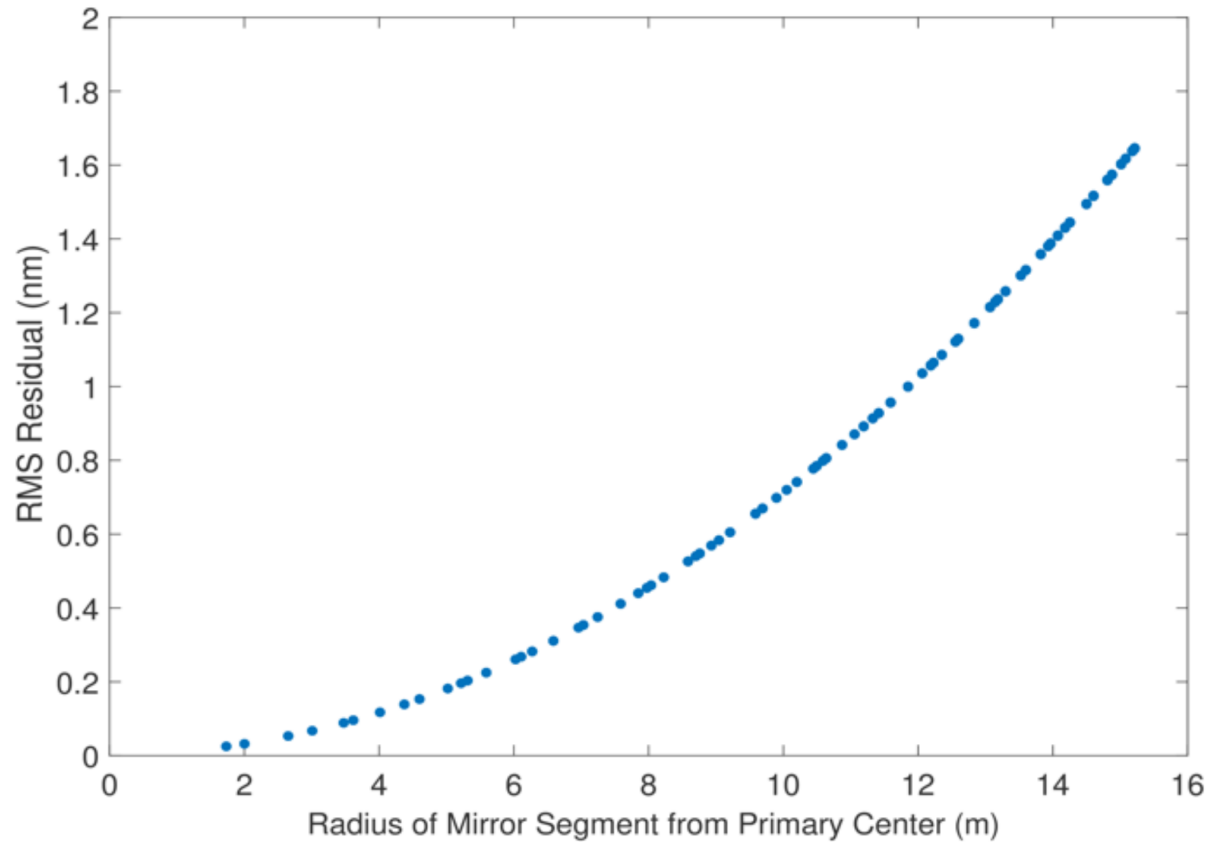
# Mirror Modal Decomposition

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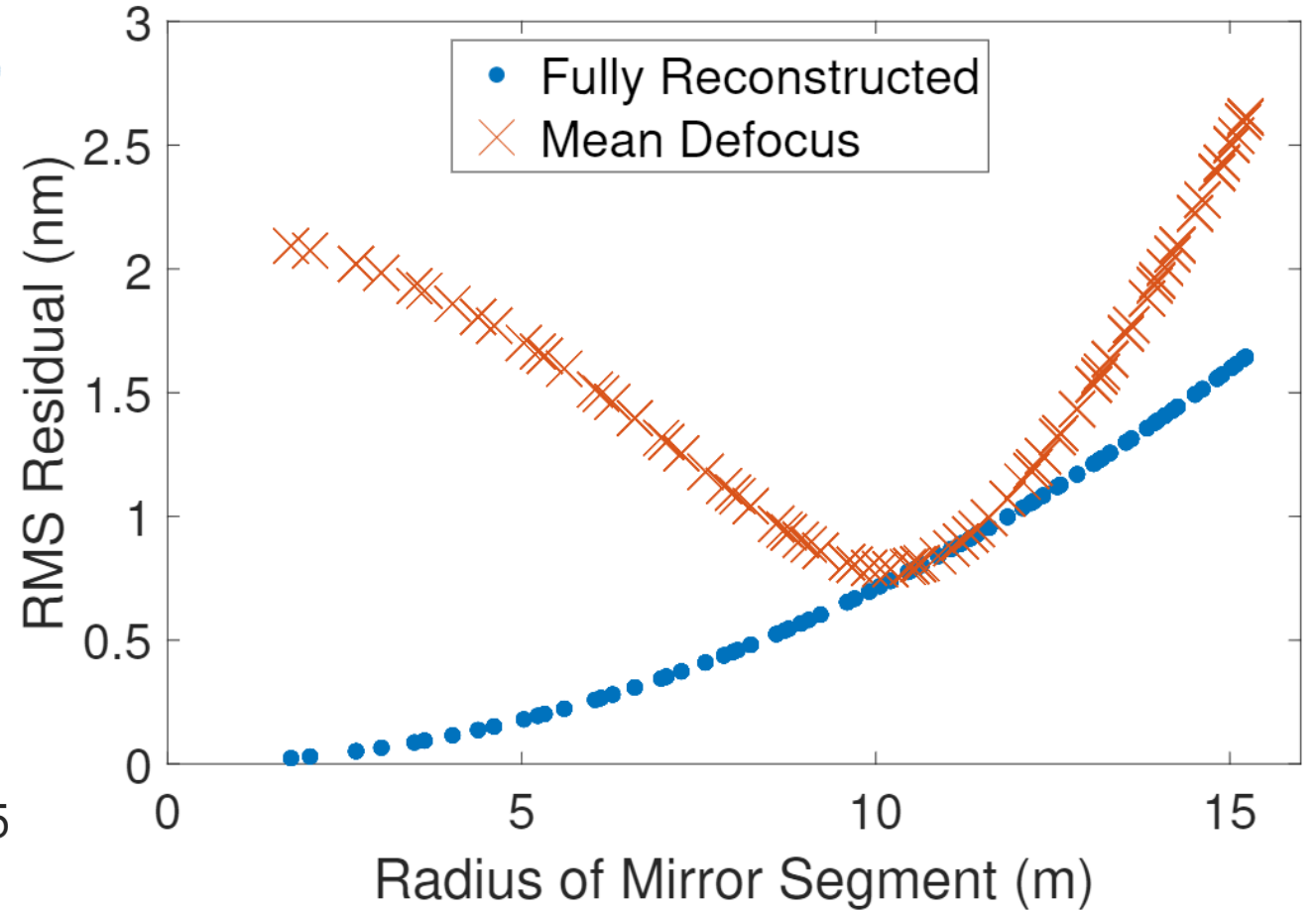
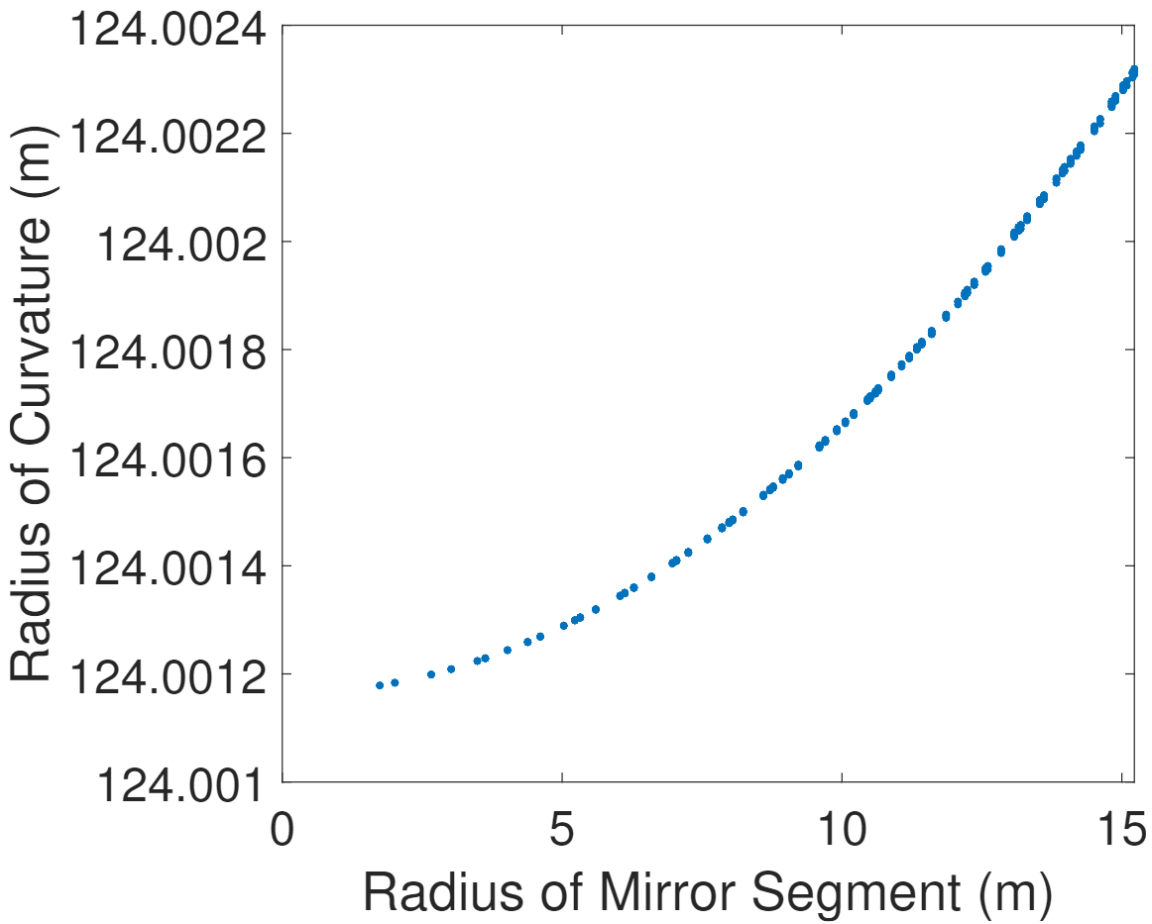




# RMS Error

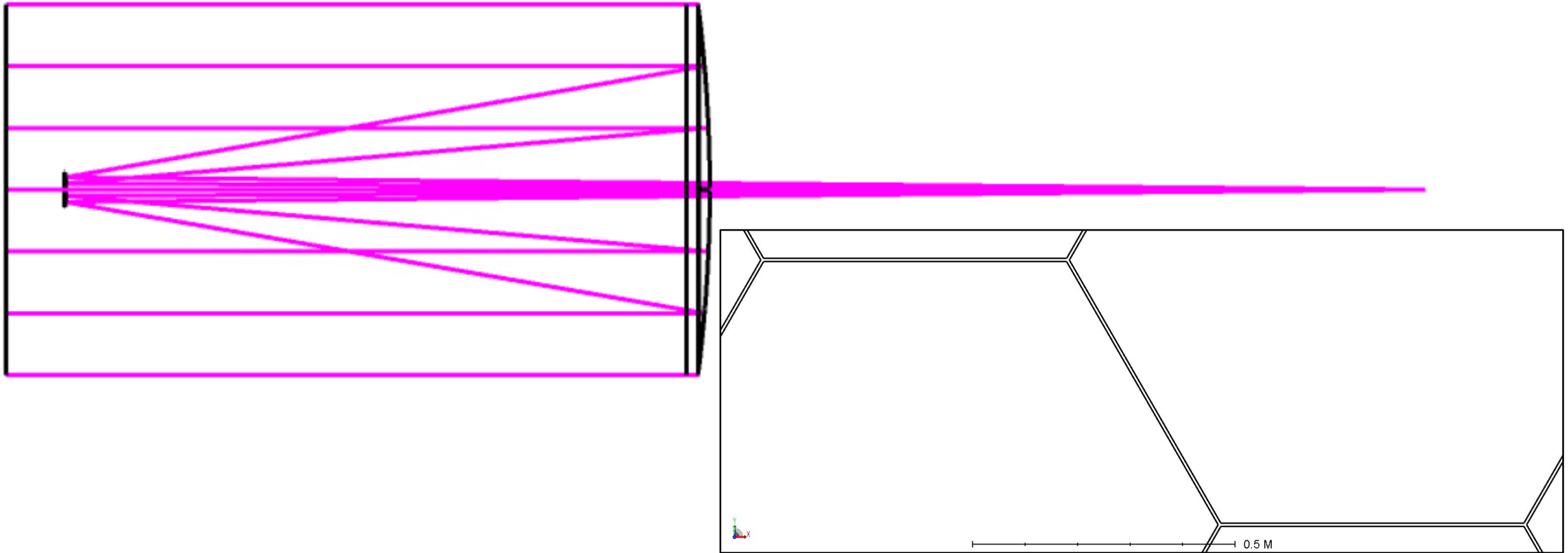


# RMS Error

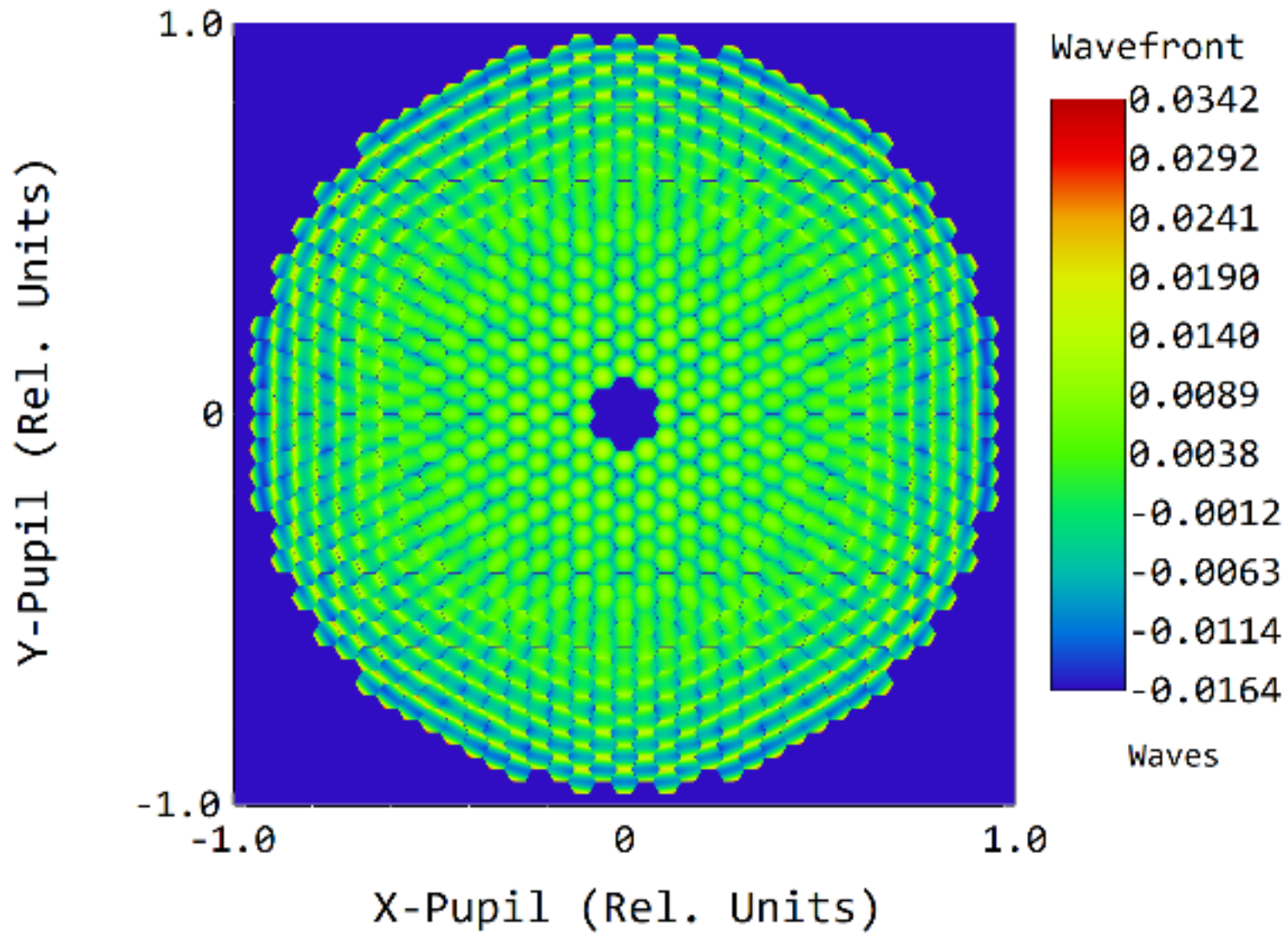


# Modelling - OpticStudio

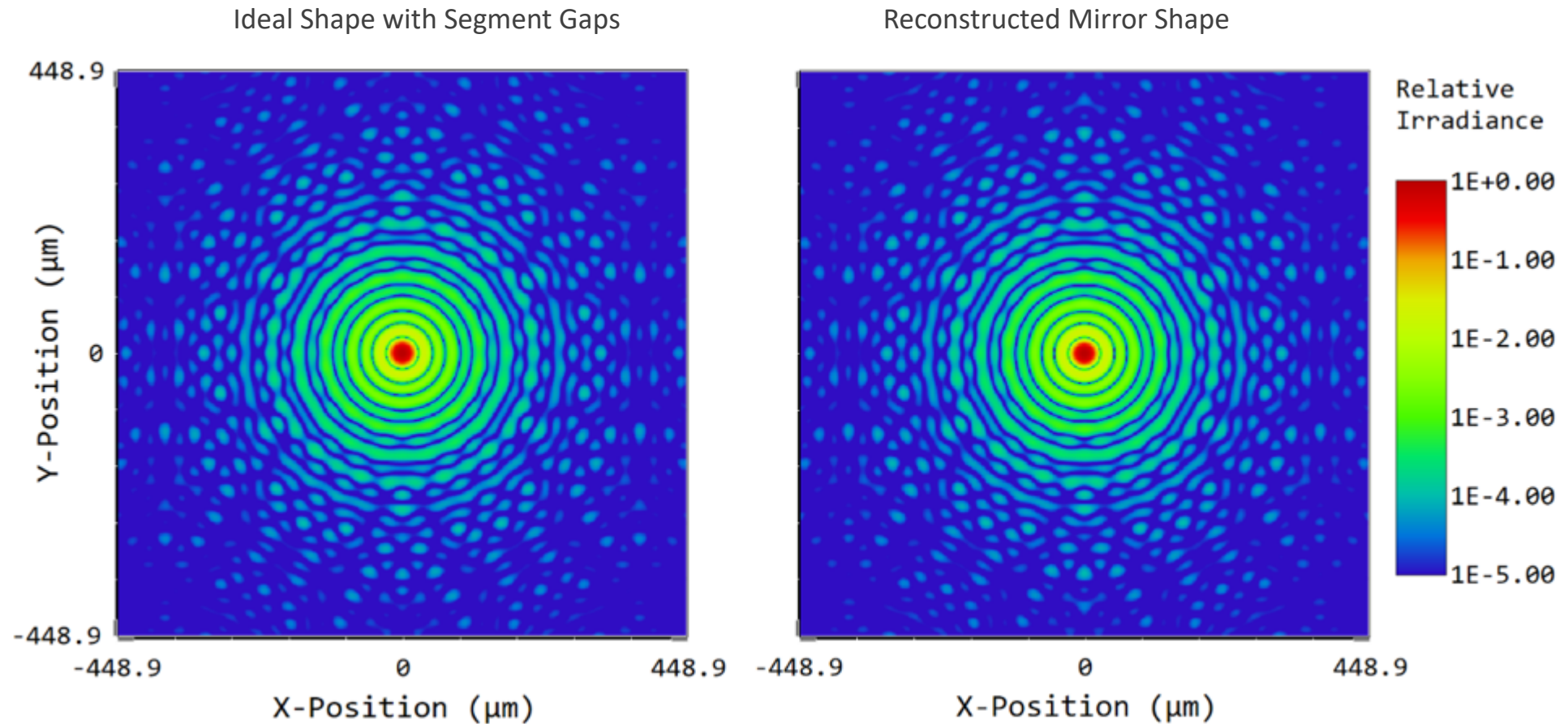
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# Analysis - Wavefront Error



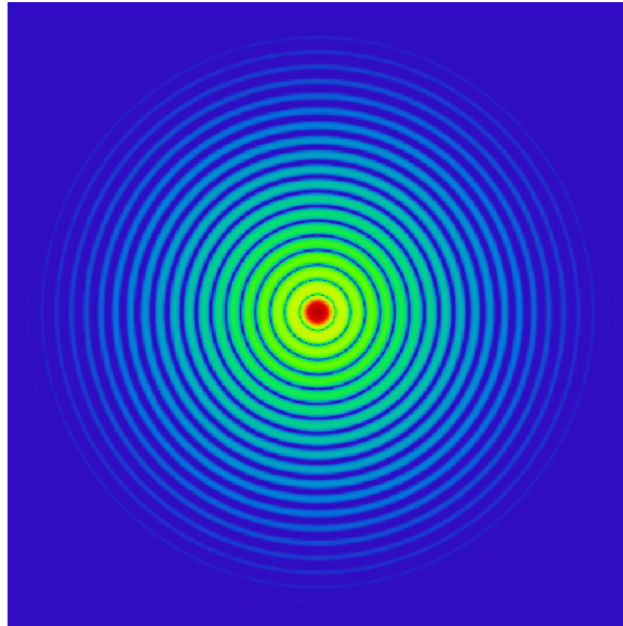
# Analysis – Point Spread Function



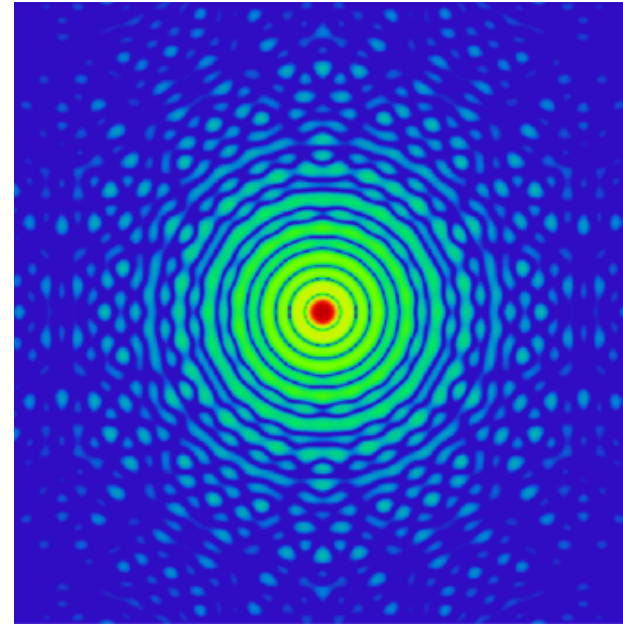
# Analysis – Strehl Ratio

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Perfectly Ideal/No gaps



Gaps/Reconstructed Mirror



Strehl Ratio: 0.9986

# Project Conclusions

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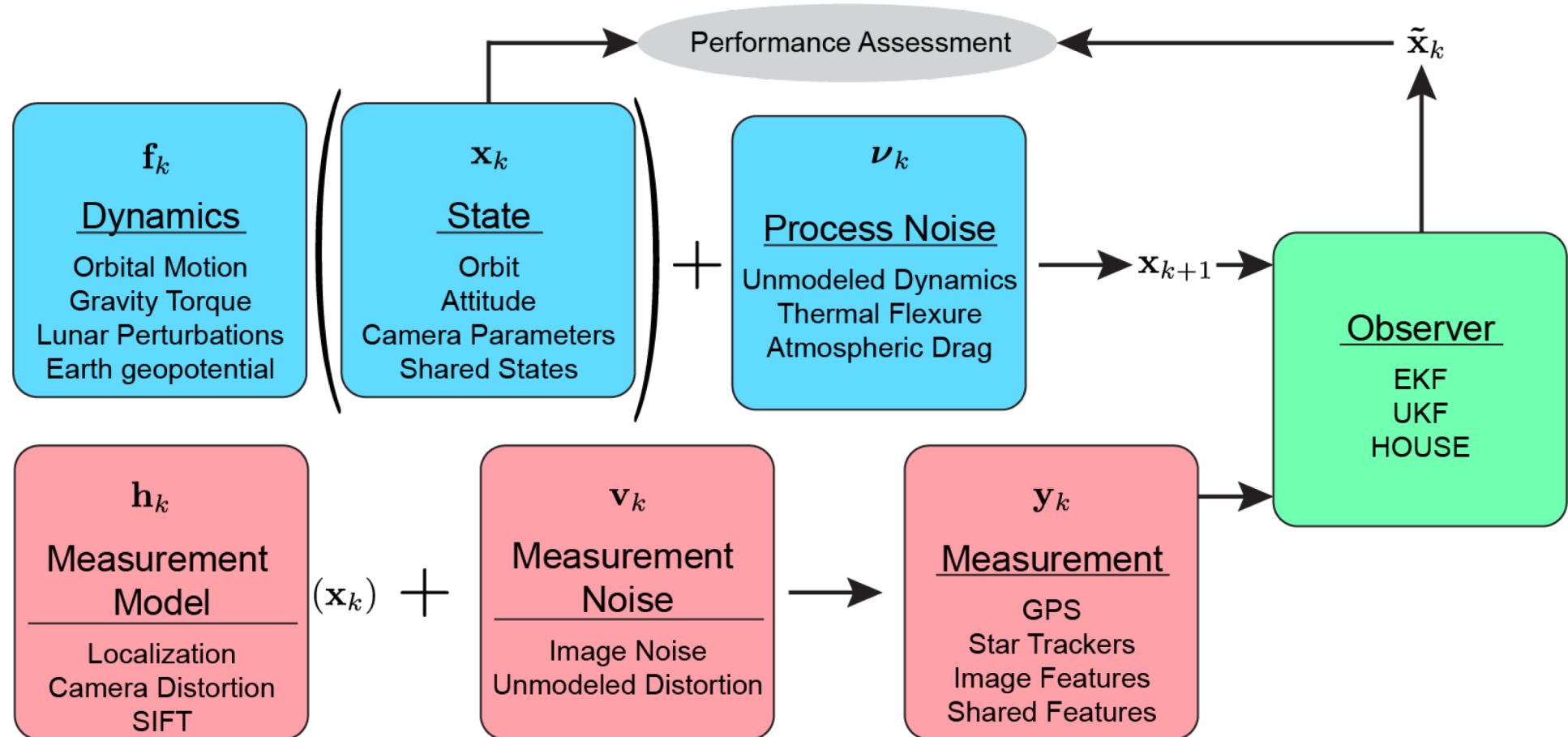
- Using Ritchey-Chrétien Cassegrain design
- Modular, random assembly requires uniform design
- Each segment can be approximated with piston, tip, tilt, and defocus
- Given
  - Aligned secondary
  - No manufacturing error
  - No dynamic wavefront control
  - No need for alignment
- A 31-meter self-assembling space telescope is optically **feasible**  
<https://ntrs.nasa.gov/archive/nasa/casi.ntrs.nasa.gov/20190018062.pdf>

# Satellite Image Filtering

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# Technical Overview



# Camera Model - Overview

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Complete state:  $\mathbf{x} = [\mathbf{q} \ \mathbf{r} \ \mathbf{c}]^T$

Camera state:  $\mathbf{c} = [f \ c_1 \ c_2 \ c_3]^T$

Focal Distance:  $f$

Camera Distortion Model:

$$r_d = r_u(1 - c_1 - c_2 - c_3 + c_1 r_u + c_2 r_u^2 + c_3 r_u^3)$$

# Camera Model - Defocus

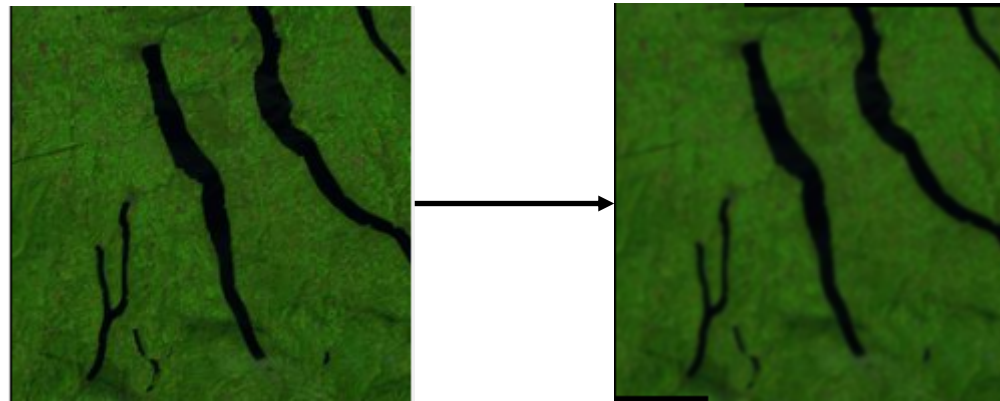
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Gaussian convolution blurring for defocus

$$\sigma_G = \rho \frac{fs}{2\sqrt{2}N} \left( \frac{1}{f} - \frac{1}{u} - \frac{1}{s} \right) \quad (\text{Mannan and Langer, 2016})$$

$\rho$  converts physical-space to pixel-space

$$N = \frac{f}{A}$$



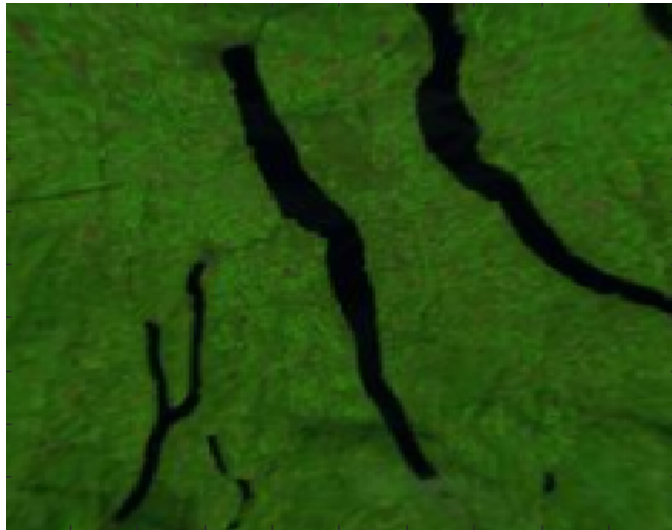
# Camera Model – Distortion

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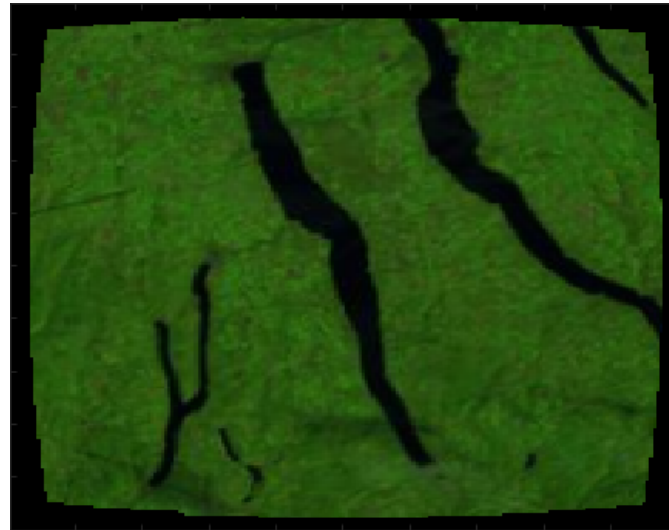
Radially symmetric:

$$r_d = r_u(1 - c_1 - c_2 - c_3 + c_1r_u + c_2r_u^2 + c_3r_u^3)$$

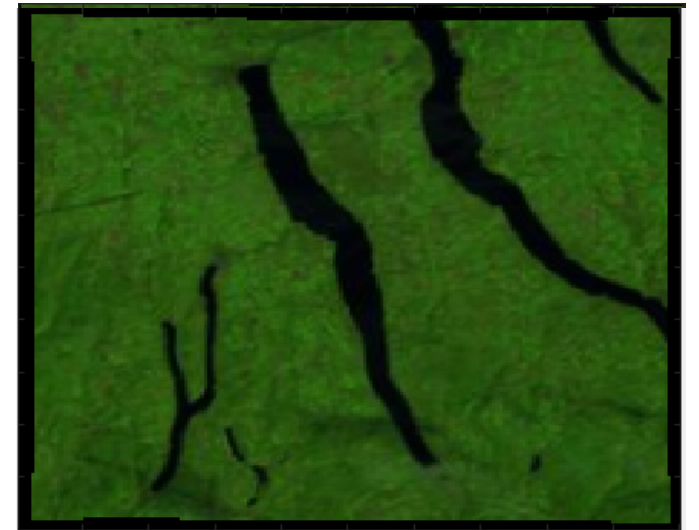
Pincushion



Barrel



Mustache



# Scale Invariant Feature Transform (SIFT; Lowe, 2004)

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Detect image extrema

Localize keypoints (subpixel)

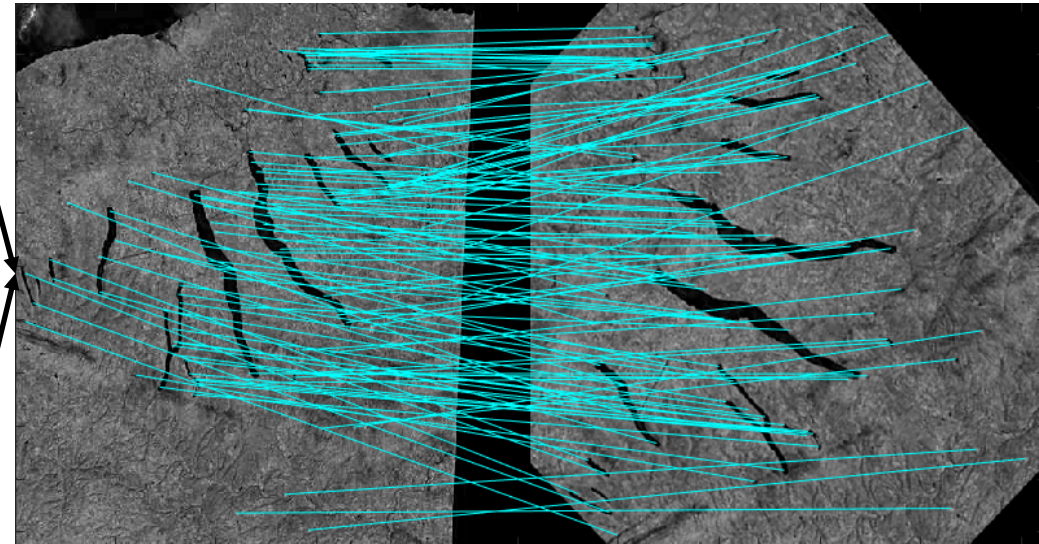
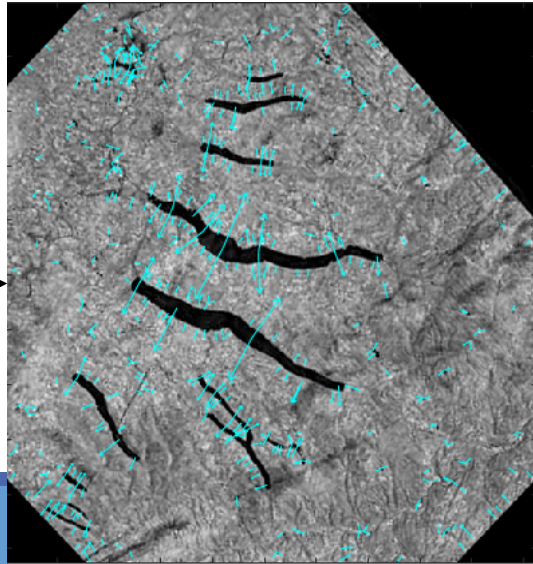
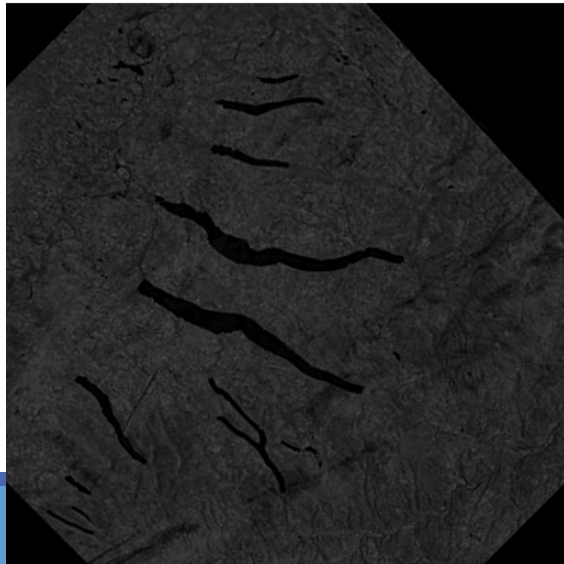
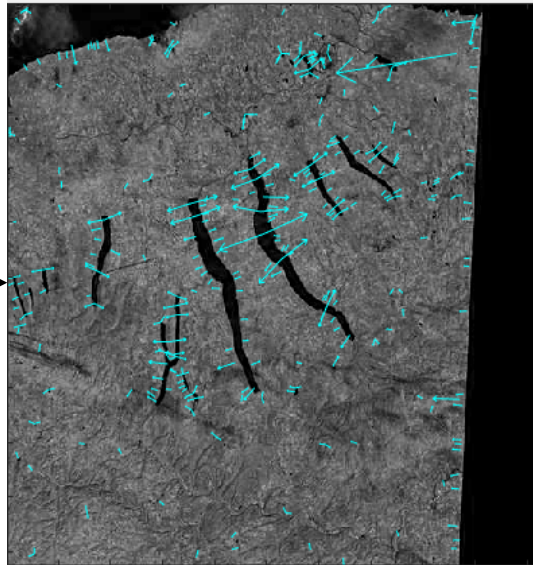
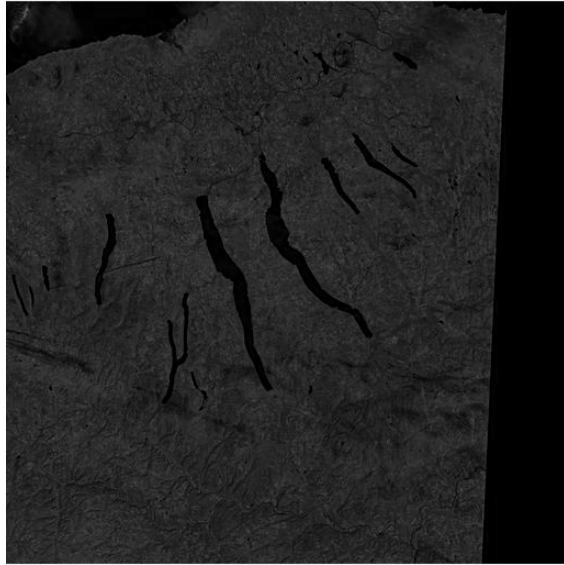
Orientation assignment via local gradient

Generate keypoint descriptors

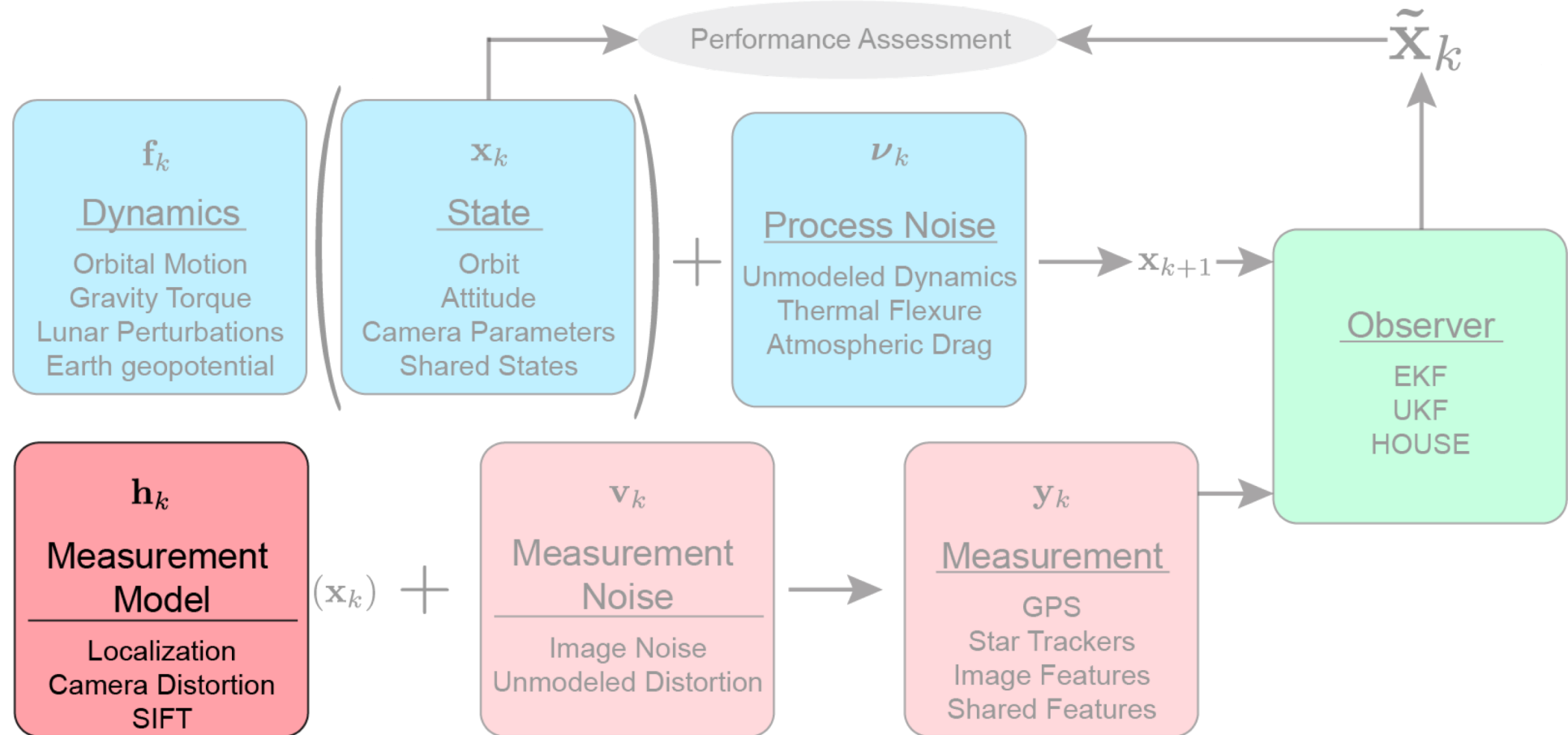
- Each a 128-element vector
- Independent of scale, orientation, illumination

Compare keypoint descriptors from different images

# SIFT Example



# Measurement Model



# Image Points from Satellite Position

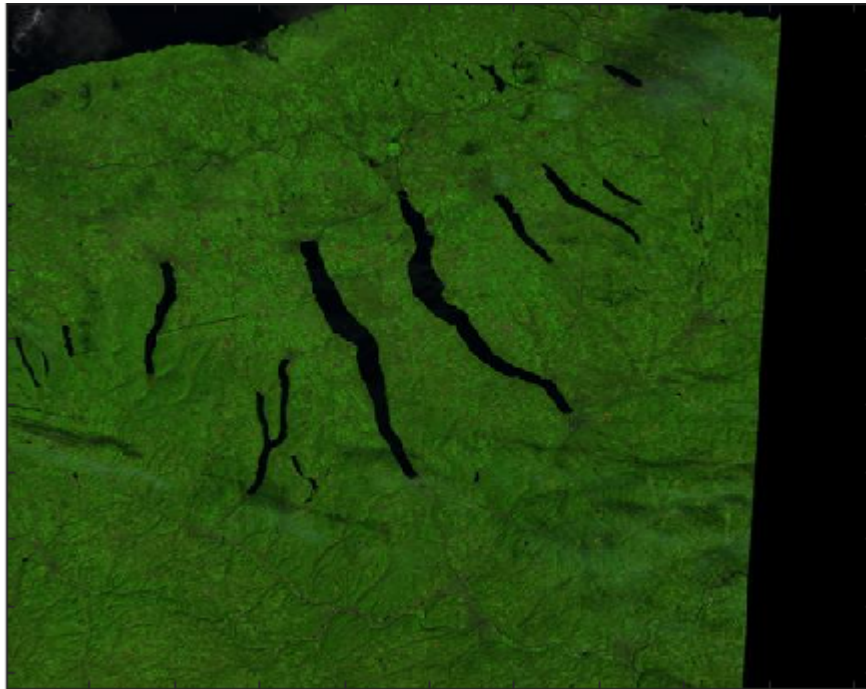
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Known Data:

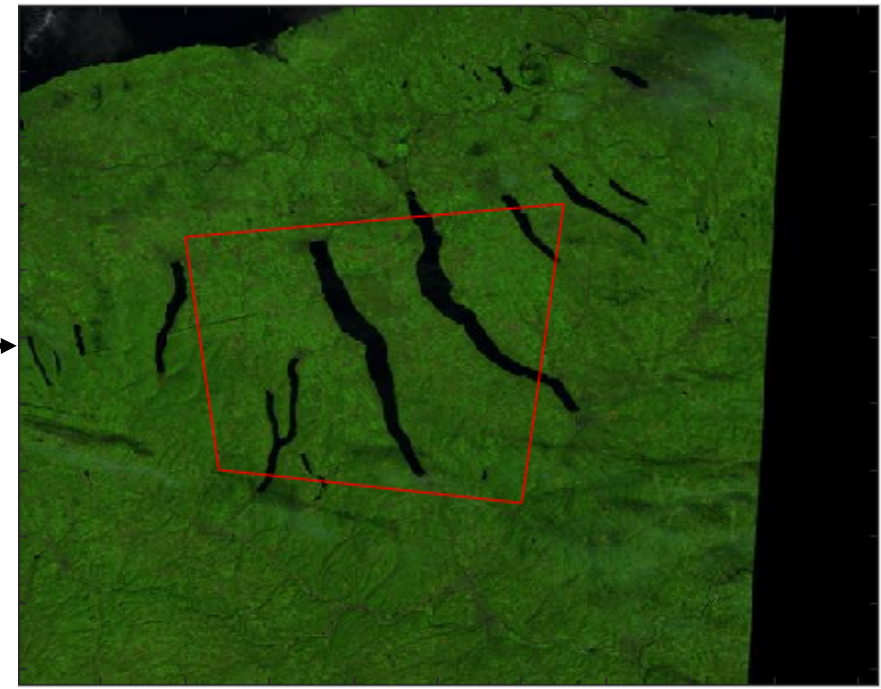
LandSat 8 (simulation)

Previous constellation images (implementation)

Locations of image boundaries



FOV limitations,  
 $q, r_{G/O}, r_{O/O'}$

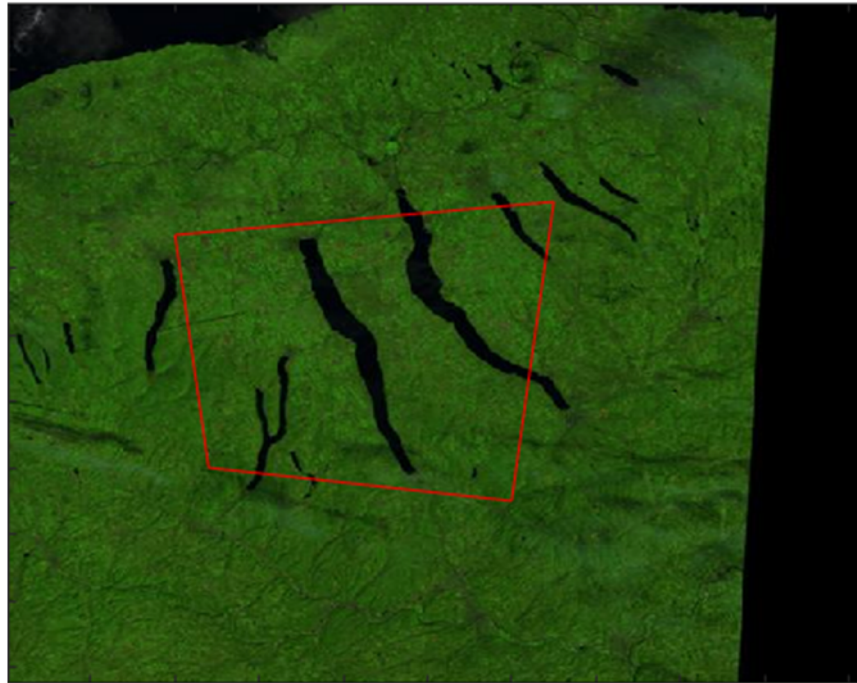




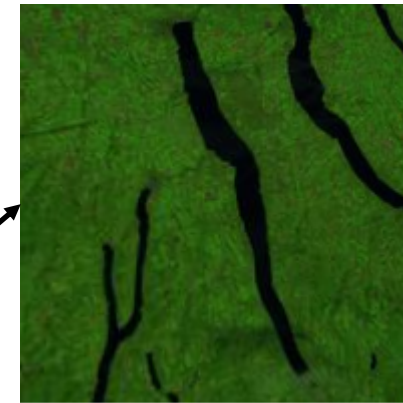
# Distorting the Image

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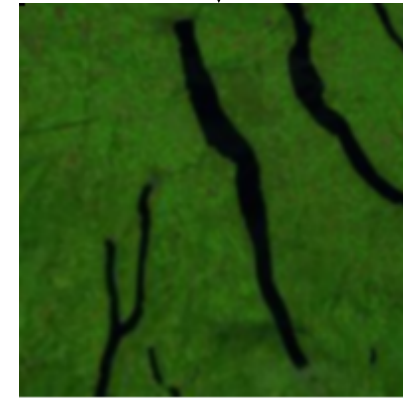
Locations of image boundaries



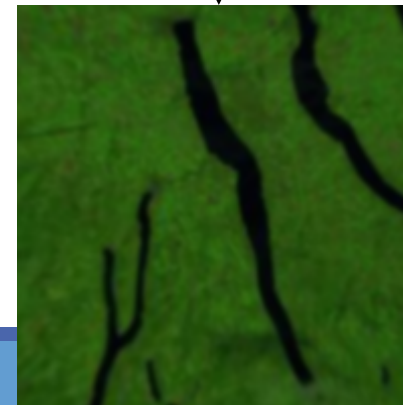
Homogenous  
Coordinate transform,  
Bicubic  
interpolant



Focal model:  $f$

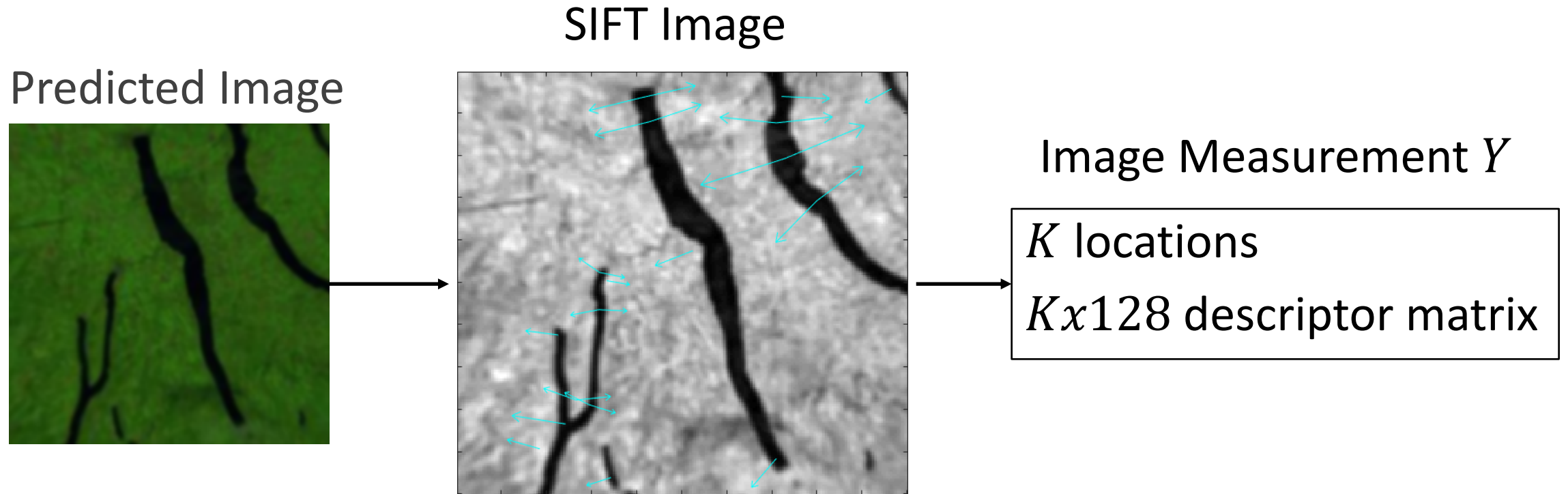


Distortion model:  $c_1, c_2, c_3$

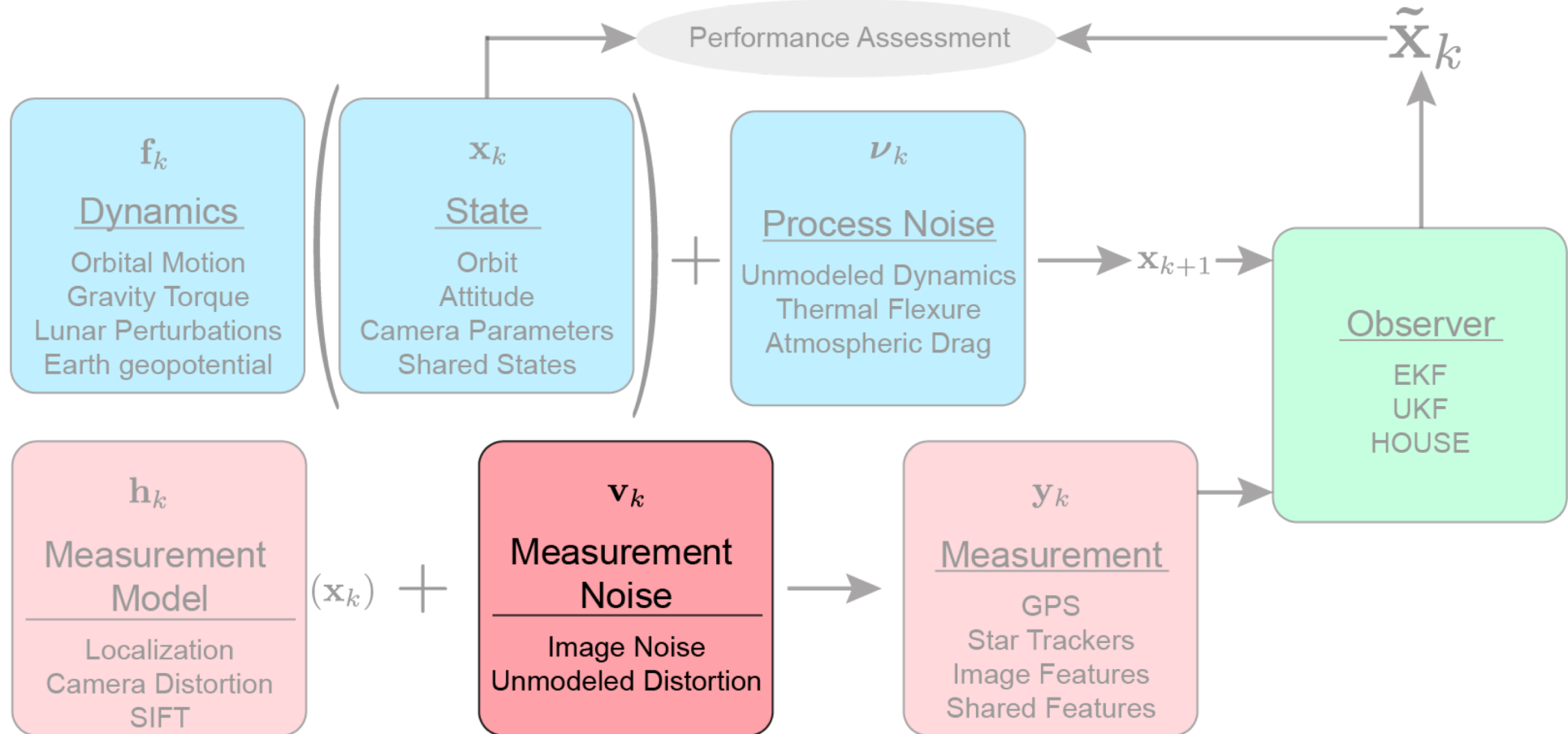


# Measuring SIFT Keypoints

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# Measurement Noise



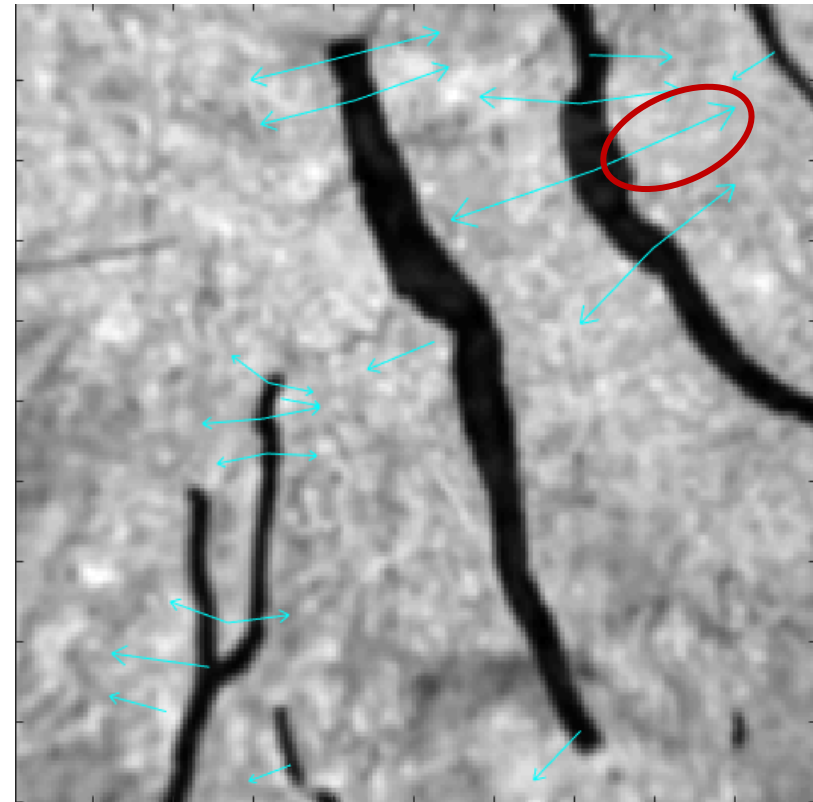
# Image Measurement Function Analysis

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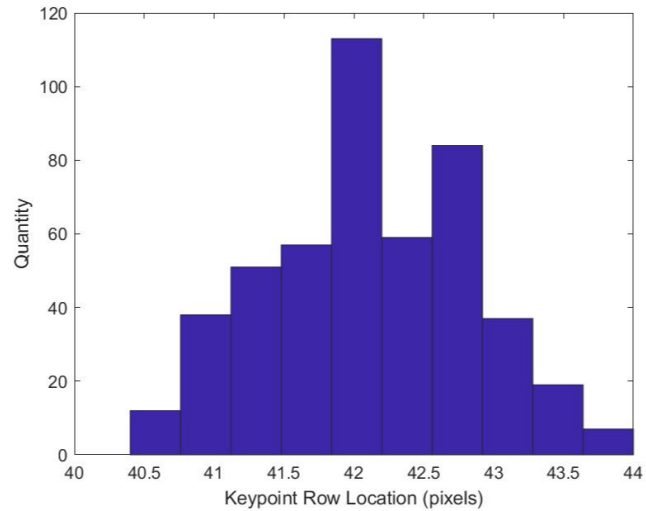
Apply Gaussian noise to state vector 500 times

Compute complete image measurement function

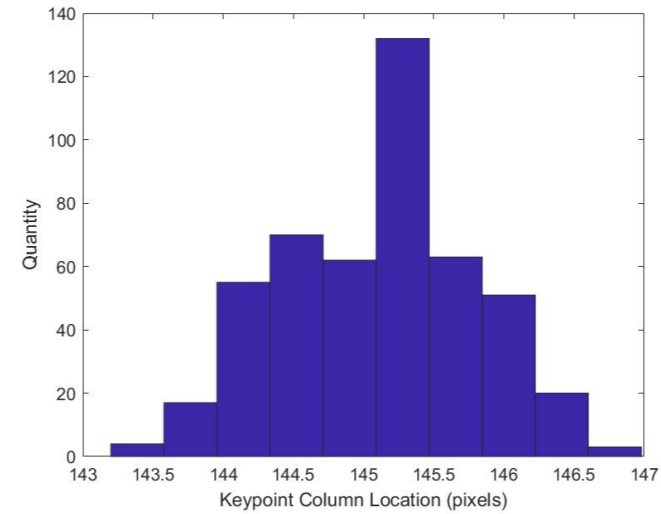
Analyze impact on a given keypoint location and descriptor



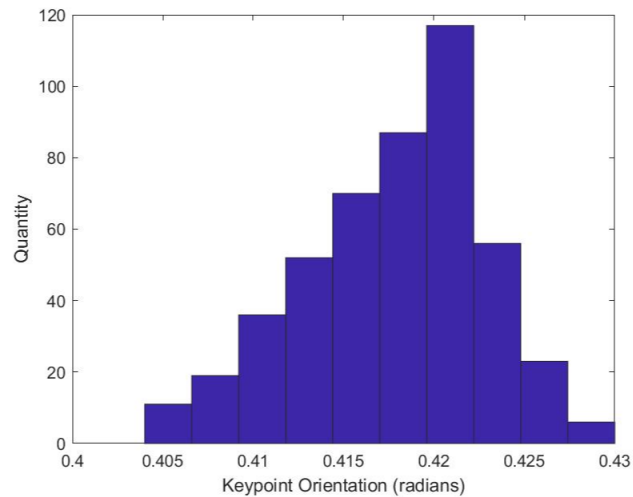
# Non-Gaussianity: Location



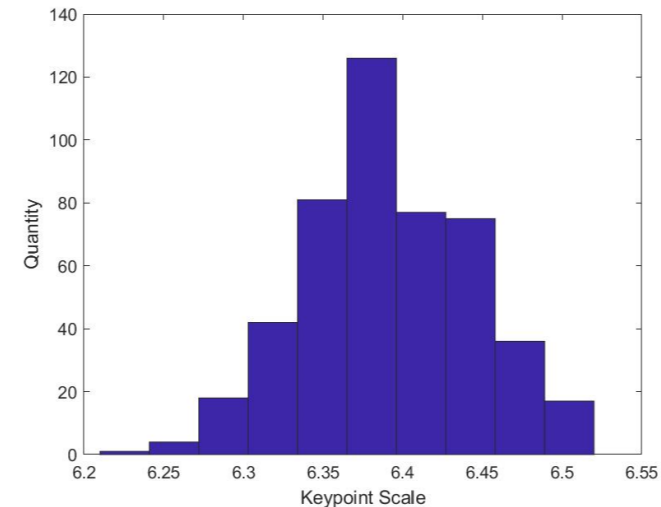
Mean: 42.2 Std. Dev.: 0.73 Skewness: -0.007 Kurtosis: 2.416



Mean: 145.1 Std. Dev.: 0.69 Skewness: -0.048 Kurtosis: 2.50



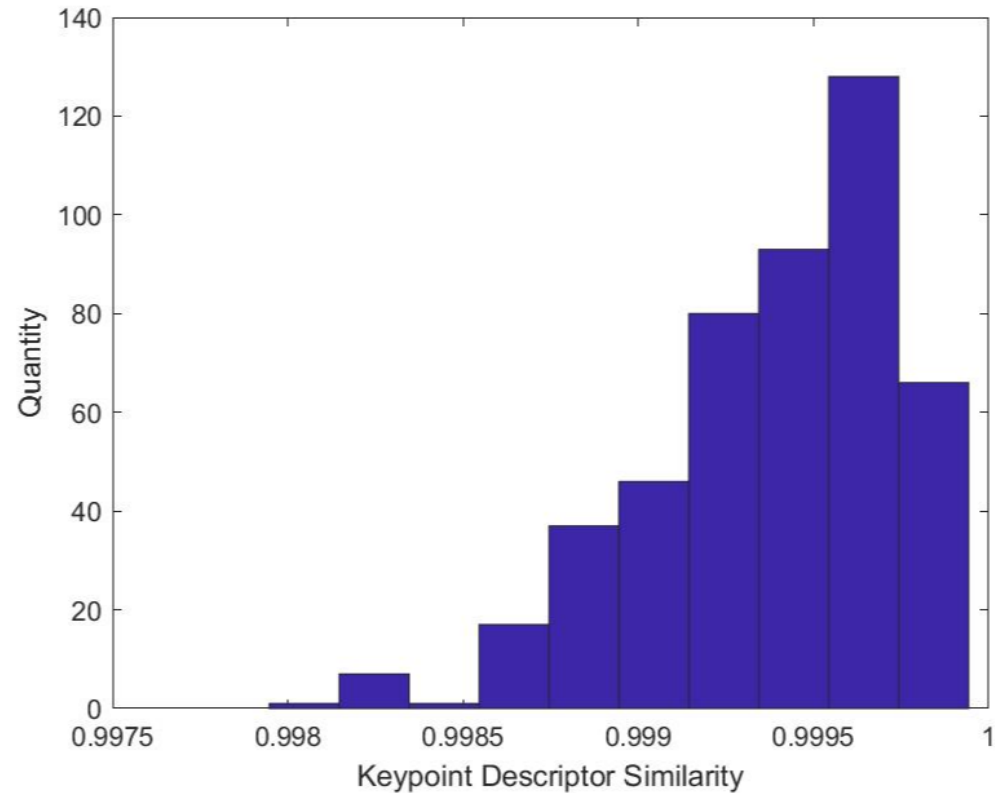
Mean: 0.418 Std. Dev.: 0.005 Skewness: -0.506 Kurtosis: 2.80



Mean: 6.39 Std. Dev.: 0.05 Skewness: -0.041 Kurtosis: 2.80

# Non-Guassianity: Descriptors

- Keypoint descriptors are
  - unit vectors
  - location, orientation and scale independent
  - can be compared via dot product
- Because the comparison has a natural upper bound of 1, it is inherently non-Gaussian
- Each noise result was compared to the original
  - Mean: 0.994
  - Standard Dev.:  $3.54 \times 10^{-4}$
  - Skewness: - 0.094
  - Kurtosis: 3.67



# Overview of Presentation

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## Identifying Exoplanets in Direct Imaging Data with Common Spatial Pattern Filtering

- “Blind source separation algorithms for PSF subtraction from direct imaging.” Poster Presentation. AAS 2017.
- “Planet signal extraction from direct imaging using common spatial pattern filtering.” Oral Presentation. SPIE Optics and Photonics, 2017.
- “Common spatial pattern filtering for detection of circumstellar discs.” Poster Presentation. SPIE Telescopes and Instrumentation, 2018
- Shapiro, J., Savransky, D., Ruffio, J.B., Ranganathan, N., and Macintosh, B. **Detecting Planets from Direct Imaging Observations Using Common Spatial Pattern Filtering.** *The Astronomical Journal.* (2019).
- “Identifying Exoplanets with CSP Filtering and a Forward Model Matched Filter.” Oral Presentation. AAS #235, 2020.
- Shapiro, J., and Savransky, D. **Statistical Properties of the Common Spatial Pattern Filtering with a Forward Model Matched Filter technique for Direct Imaging.** *The Astronomical Journal.* (In Prep).

## Optical Design of a Large, Segmented, Space Telescope

- “Optical design of a large segmented space telescope.” Poster Presentation. AAS 2019.
- “Optical design of a modular segmented space telescope.” Oral presentation. SPIE Optics and Photonics 2019
- NASA NIAC Report: <https://ntrs.nasa.gov/archive/nasa/casi.ntrs.nasa.gov/20190018062.pdf>

## Satellite Imagery Calibration via Dynamic Filtering

# Acknowledgements

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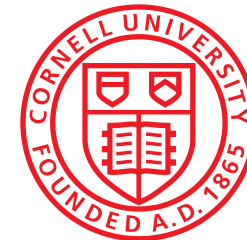
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Jason Wang  
Bruce Macintosh  
GPIES Team



Exoplanet Survey



CARL SAGAN  
INSTITUTE





THANK YOU



# Using Modern Mathematical and Computational Tools for Image Processing

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JACOB SHAPIRO

B-EXAM

CORNELL UNIVERSITY

NOV. 3, 2020